

1 **Title:** Estrogen as a safe therapeutic adjunct in reducing the inflammatory storm in Trauma
2 haemorrhagic shock patients.

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39 **Short Title:** Estrogen as a safe therapeutic adjunct

40 **Key words:** Trauma haemorrhagic shock (THS), sepsis, inflammation, multiple organ failure,
41 estrogen

42 **List of Abbreviations:**

43 **THS:** Trauma haemorrhagic shock

44 **ED:** Emergency Department

45 **ATLS:** Advance trauma life support

46 **ICU:** Intensive care unit

47 **MODS:** Multiple organ dysfunction syndrome

48 **MOF:** Multiple organ failure

49 **SOFA:** Sequential organ failure assessment

50 **ISS:** Injury severity score

51 **SI:** Shock index

52 **GCS:** Glasgow coma scale

53 **APACHE:** Acute physiology and chronic health evaluation

54 **AIS:** Abbreviated injury scale

55 **CU:** Compression ultrasonography

56 **PBMCs:** Peripheral blood mononuclear cells

57 **ELISA:** Enzyme linked immunosorbent assay

58 **FCS:** Foetal calf serum

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73 **Summary:**

74 Trauma is a major cause of morbidity and mortality throughout the world. Alarminglly the
75 mortality rate, owing to multiple causes with or without sepsis, is now reported to cross the
76 value of 50%. The preliminary study was conducted in humans to investigate the 1) safety of
77 estrogen therapy following trauma haemorrhage 2) Does estrogen reduced the inflammatory
78 storms caused due to trauma 3) Does estrogen affects the survival of THS patients and prevent
79 the advancement of sepsis associated problems. 40, THS patients and 20 healthy controls were
80 recruited. THS patients were divided into experimental group and placebo controls based on
81 the estrogen administration in the ED. Serum level of cytokines and immune cells were
82 measured at different time points on days 0, 3, 7 and 14 in both groups of THS patients. Patients
83 receiving intravenous estrogen beside standard of care as per ATLS guidelines did not develop
84 any major or minor adverse events and showed favourable clinical outcomes during their course
85 of stay in the ED and ICU. The levels of T regulatory cells, monocytes, and systemic cytokines
86 were significantly reduced in THS patients who received estrogen. Again, THS patients who
87 received estrogen recovered early, do not have side effects and showed balanced inflammatory
88 response.

89 In conclusion, this preliminary study showed that intravenous estrogen therapy is safe and
90 overcame the problem of inflammatory insults caused due to trauma haemorrhagic shock. It
91 may protect from sepsis associated complications among THS patients.

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97 **1. INTRODUCTION:**

98 Trauma haemorrhagic shock (THS) remains a significant public health problem throughout the
99 world and is the fourth leading cause of death in person younger than 40 years(1). The higher
100 rate of mortality in THS patients are usually associated with development of sepsis, septic
101 shock, and the development of the multiple organ dysfunction syndrome (MODS) (2,3). Despite
102 the advancement in pre-hospital care, early balanced resuscitation in the Emergency
103 Department (ED) and damage control surgery mortality rate among THS has yet not been
104 improved (4). The outcome of patients following trauma haemorrhage is not determined only
105 by severity of injuries, but also influence by altered immune cells functions, hormonal status,
106 and secreted cytokines(5,6). The inflammatory response is essential to host defence against
107 infections, but inflammatory disparity caused by imbalance production of inflammatory and
108 anti-inflammatory cytokines may lead to MODS and subsequently poor clinical outcomes
109 (7,8).The management of trauma patients who develop sepsis associated problems in the ICU
110 are challenging for the physician. Previous findings from our laboratory and others showed that
111 THS patients who developed sepsis had elevated serum levels of IL-6 and IL-10 (9). The serum
112 levels of IL-6 and IL-10 were significantly elevated in non-survivors as compared to survivors
113 and subsequently showed positive correlation with Sequential Organ Failure Assessment score
114 (SOFA score). We have also reported that T cell anergy, depressed Th1 cytokines production
115 and skewing toward increased Th2 type immune reactivity in post-traumatic sepsis patients
116 may lead to poor outcome among THS patients(5,9).

117 Several clinical and experimental studies demonstrated that gender dimorphism may determine
118 the immune response following trauma haemorrhage which may differentially affects the
119 release of Th1 and Th2 cytokines and subsequently determine the occurrence of sepsis and
120 clinical outcome (10,11). In this respect, the cell mediated immune response has been shown to
121 be depressed in the animal models following trauma haemorrhage (11,12). The sex hormones

122 estrogen and androgen play a pivotal roles in regulating the host immune response against
123 haemorrhage in animal models (11,13). Estrogen administration restored adversely affected
124 immune alterations in the animal models of THS. Therefore, estrogens may be use as a
125 therapeutic adjunct for the treatment of THS by preventing sepsis associated problems and may
126 subsequently reduce the mortality. In this respect, previous studies of animal models of
127 haemorrhage showed that male gender as an independent risk factor for sepsis associated
128 complications and for the poor clinical outcomes (14,15). Female rat animals with THS had
129 lower chance to develop sepsis complications and showed favourable outcomes when
130 compared to male (13). Recent finding of *Mars et al.*, 2016 also reported a significantly higher
131 incidence of bacteraemia in traumatized males as compared to females(12). *Al-Tarrah et al.*,
132 2018 have shown a significantly higher survival rate in women (74%) compared to men (31%)
133 following the onset of sepsis(16). Estrogen therapy is being commonly used to treat the
134 haemorrhage occur due to massive dysfunctional uterine bleeding, however clinical and
135 therapeutic applications of this therapy among THS patients in the humans have yet not studied.
136 Based on these encouraging results from animal studies, we hypothesised that early
137 administration of Estrogen in male THS patients may restore the inflammatory storm caused
138 due to trauma, reduced the mortality, and also prevent from sepsis associated problems at the
139 later stages in the ICU.

140 This preliminary study was conducted to investigate the 1) safety of estrogen therapy to THS
141 patients 2) Does estrogen therapy reduce the inflammatory storm caused following trauma
142 haemorrhage 3) Does estrogen affects the survival of THS patients and prevent the
143 advancement of sepsis associated problems in the ICU.

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146 **2. MATERIALS and METHODS:**

147 **2.1 Patients Characteristics:**

148 This pilot study was conducted as per the “Ethical Guidelines” issued by Indian Council of
149 Medical Research (ICMR), New Delhi for conducting the biomedical research work on Human
150 subjects and GCP guideline issued by Department of Ethical Committee, ICMR, Government
151 of India, New Delhi. The preliminary study was approved by Human ethics committee, AIIMS,
152 New Delhi (India) with Ref. No: NP-303/2010 & OP-16/11.04, 2011) and Director General of
153 Health Science, Office of Drugs Controller General, India, New Delhi with Ref. No: 12-223/10-
154 DC.

155 Male THS patients hospitalized in the ED at Trauma Centre, AIIMS, New Delhi were recruited.
156 Eligibility criteria for enrolment in this study includes the haemorrhagic shock patients of male
157 sex of age 16-60 years with systolic blood pressure (SBP) (≤ 90 mm Hg), presenting within 8
158 hours of injuries. Patients were recruited after taking proper consent from the patient or their
159 relative. THS patients, who have neurogenic shock, traumatic brain injury (TBI), septic shock
160 and already resuscitated with fluids (colloids or crystalloids), anti-inflammatory drugs or
161 corticosteroids and blood or blood components before reporting to the ED were excluded.

162 **2.2 Patients resuscitation and Samples Collection:** All patients were managed in the ED
163 according to the standard protocol of American College of Surgeons Advanced Trauma Life
164 Support (ATLS). THS patients were divided into two groups based on estrogen therapy in the
165 ED. Estrogen was administered intravenously (25 mg) at a single time point on day 0 in the ED.
166 A double blind randomisation was performed to conduct the preliminary study. THS patients
167 who received the intravenous estrogen (25 mg) with initial treatment 2 litre ringer lactate
168 +fluid/blood resuscitation as per ATLS protocol are called experimental group and others who
169 received 2 litre ringer lactate only with fluid/blood resuscitation as per ATLS protocol are called
170 Control group. Blood samples were collected twice on day 0 in the ED. A total of 2ml blood

171 sample was collected in the EDTA vial at the time of arrival to measure the base line parameters
172 from both groups of patients. Once patients were established in the ED, further 8 ml blood
173 samples were collected within eight hours of injuries from them in the ED. The blood samples
174 collected after drug infusion was designated as Day 0. The individual patients information
175 (demographic and clinical parameters) were collected in the ED from all patients as per the
176 Annexure I and II (**Supplementary Tables 1 and 2**). The demographic and clinical parameters
177 collected from every patients in the annexure sheets were used to correlate the all possible
178 sequence of events of recovery and death up to one year of injuries. The details of inclusion
179 criteria, exclusion criteria and outcome analysis of THS patients are shown in Consort Flow
180 chart (**Fig.1**).

181 The severity of injuries of patients were assessed by Shock Index (SI) and Injury severity score
182 (ISS) in ED and the extent of organ dysfunction was assessed by SOFA score (SOFA; range,
183 0–24) and Acute Physiology and Chronic Health Evaluation II (APACHE II; range, 0-71) later
184 in the ICU.

185 **2.3 Adverse Events Reporting:**

186 We have performed hypersensitivity test to see the primary side effects of estrogen at the time
187 of admission in the ED (by injecting the small amount of intravenous estrogen in a circulated
188 area of patient arm and waited for 20 minutes to see any sign of hypersensitivity) in all patients
189 of experimental group. All major, minor thrombotic events and non-thrombotic adverse events
190 were noted and reported to the drug monitoring committee at AIIMS, New Delhi. Wells score
191 and Compression Ultrasonography (CU) was done to asses deep vein thrombosis at different
192 time points on days 0, 3, 7, and 14.

193 **2.4 Collection of First and Second Blood Samples:** On arrival 2 ml blood sample was
194 collected in EDTA vial by vein puncture from every patients to investigate the base line levels

195 of analytes in the ED. The second blood sample (8 ml) was taken after infusion of 2L (Litre)
196 resuscitation fluid with estrogen (Experimental group) and without estrogen (Control group)
197 within eight hours of injuries in the ED. Further, sequential blood samples were collected on
198 Days 3, 7 and 14. The blood samples collected after infusion of estrogen from experimental
199 group and without estrogen from controls groups were divided as follows; 4 ml blood samples
200 collected in plain vial to estimate the serum cytokines and hormones, and remaining 4 ml
201 samples was collected in EDTA vial to isolate the Peripheral Blood Mononuclear Cells
202 (PBMCs) to performed the immunophenotyping of monocytes, Treg cells, NK cells and B reg
203 cells.

204 As per study protocol blood sample were collected consecutively on days 3rd, 7th, and 14th from
205 every patient. Patients discharged before completion of 14th day after injuries were excluded
206 from final analysis. Furthermore, if patient died in this time intervals we recorded the details
207 with intent to find the plausible immunological and clinical reasons of death.

208 **2.5 Severity Scores and Outcome:**

209 The severity scores of patients in the ED were measured by ISS score, Glasgow Coma Scale
210 (GCS) and Shock index (SI), whereas SOFA and APACHE scores were noted in the
211 ICU(17)(18). ISS score is the sum of the square of Abbreviated Injury Scale (AIS) scores for
212 each body regions including head and neck, face, chest, abdomen and extremities and external.
213 The GCS score is used to measure the extent of neurological injury of patients. The extent of
214 organ failure of patients were measured by SOFA and APACHE II scores in the ICU. SOFA
215 score reflects the respiratory, hepatic, cardiovascular, coagulation, renal and neurological
216 systems while, APACHE II is applied within 24 hours of ICU admission with worst value
217 recorded for each component part of physiology variable.

218 **2.6 PBMCs isolation:** PBMCs were isolated from 4 ml of venous blood samples by density
219 gradient centrifugation over Ficoll–Hypaque (Sigma-Aldrich). Blood sample was mixed with
220 RPMI-1640 media in an equal ratio and poured slowly on Histopaque followed by centrifuged
221 at 400 g for 30 minutes. The resultant buffy layer was collected from the interface after
222 centrifugation and washed twice with 1X-PBS. Finally. PBMCs were pelleted down and
223 suspended in complete media having 10% foetal calf serum (FCS) made in RPMI-1640 having
224 antibiotics (50ug/ml gentamycin and streptomycin).

225 **2.7 Cell Viability and Counting:** The viability of isolated PBMCs were measured by trypan
226 blue exclusion dye. The viability of cells were assessed under microscopic observation by their
227 shiny appearance. PBMCs was incubated with an equal volume of dye for 2-3 minutes and
228 loaded on haemocytometer for counting in the WBC chamber. The average number of cells
229 was expressed in per ml.

230 **2.8 Immunophenotyping:** Immunophenotyping of T regulatory cells, B regulatory cells,
231 Monocytes and NK cells were performed by multicolour Flow cytometry. PBMCs (1×10^6
232 cells) were stained with various cocktails of anti-human antibodies and incubated for 30
233 minutes at 4⁰C. After that cells were centrifuged and washed with 250 μ L of 1x PBS for 2
234 times at 2000 rpm at 4⁰C for 5 minutes. Finally, cells were suspended in 100 μ L of staining
235 buffer and samples were acquired on Flow cytometry. For the staining of transcription factor
236 FoxP3, cells were permeabilised and fixed with Perm Fixed buffer as per recommended standard
237 protocol of BD Bioscience, USA.

238 Anti-human CD4-FITC, anti-human CD25-PE and anti-human FoxP3-APC were used for the
239 immunophenotyping of Treg cells and anti-human CD5-APC, anti CD19-FITC and anti-
240 human IL-10-PE were used to analysed the B regulatory cells. The percentage of total

241 monocytes were performed by using anti-human monoclonal antibodies, CD14⁺FITC, CD16⁺
242 PE and CD11c⁺ APC and NK Cells by CD3⁻APC, CD56⁺FITC and CD16⁺PE.

243 **2.9 Estimation of serum cytokines by ELISA:**

244 Serum levels of TGF- β , TNF- α , IFN- γ , IL-6, IL-10, IL-12, MCP-1, and MIP-1 were evaluated
245 at different time points on days 0, 3, 7 and 14 in both groups (Control and Experimental) of
246 THS patients as per the standard ELISA e-bioscience kits protocol.

247 **2.10 Estimation of serum levels of estrogen and androgen:**

248 The basic principle of estimation of estrogen and androgen are based on sandwich ELISA.
249 Serum level of Estrogen and Androgen were evaluated in the serum samples collected on day
250 0 by ELISA as per given instructions by manufacturers. The serum levels of Estrogen was
251 measured by using the ELISA kit of ALPACO, USA (Cat No-11ESTHU-E01) having
252 sensitivity 10 pg/ml. The 96 well coated anti-human rabbit monoclonal antibodies microwell
253 plate was provided by manufacturers. As per given instruction, 50 μ l/well samples, standard
254 and blanks were added in the duplicate wells and incubated for 1 hours at room temperature.
255 After that plate was washed thrice with 300 μ l of wash buffer and centrifuge at 2500 rpm for
256 5 minutes at 4⁰C. The enzyme labelled secondary antibodies (100 μ l/well) were added and
257 incubated for 1 hour at room temperature. Further, washing were performed 5 times and 100
258 μ l/well substrate (TMB) was added and incubated for 30 minutes at room temperature. After
259 that 2N H₂SO₄ solution (50 μ l/well) was added and plate was read at 450 nm.

260 Similar to estrogen, the serum levels of androgen was measured by using the ELISA kit of
261 ALPACO, USA (Cat No-11DHTHU-E01) having sensitivity 6 pg/ml.

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264 **2.11 Statistical Analysis:**

265 The statistical analysis were performed by using Graph Pad Prism version 5.0 for Windows
266 (Graph Pad Software, San Diego, CA, USA) and SPSS for Windows 10.0 (SPSS Inc., Chicago,
267 IL, USA).The categorical variables and continuous variables were expressed as percentage and
268 mean (SD) / median (range), respectively. The difference among the groups were assessed by
269 using t-test / Mann-Whitney *U*-test. Analysis of variance (ANOVA) was used to compare the
270 samples collected over time. Karl Pearson coefficient was used for correlation among
271 continuous variables.

272 **3. RESULTS:**

273 **3.1 Clinical and Demographic Variables:**

274 A total of 44, THS patients were enrolled in present study. Out of 44 patients, four patients were
275 excluded from the analysis as they did not fulfilled the inclusion criteria. In the experimental
276 group, a total of (n=20) patients, mean age (31±10.8 years) and a total of (n=20) patients, mean
277 age (36.7±11.5 years) were included in control group (**Table 1**). The clinical and demographic
278 details recorded to both groups of THS patients in the ED at the arrival are shown in **Table 1**.
279 The baseline data's of heart rate, SPO2 in percentage (%), Respiratory rate, Blood transfusion
280 (number of units), and Fresh frozen plasma (number of units) were found to be comparable
281 among both groups (**Table 1**). The clinical details such as mode of injuries, types of injury,
282 drug allergy, X-ray reports and response of patients to fluid resuscitation are shown in **Table 2**.
283 Based on response to fluid resuscitation in the ED, THS patients were divided into responder,
284 non-responder and transit responders (**Table 2**). As compared to controls (20.83%), patients of
285 experimental group (5.26%) have comparatively lower percentage of non-responders. The
286 arterial blood gases (ABG) of both groups of THS patients were observed in the ED are shown
287 in (**Table 3A**). The PH, Po₂, PCo₂, HCO₃⁻, and base deficit was found to be comparable
288 (p>0.05) among both groups of THS patients, However, Lactate was found to be significantly

289 (p<0.05) two folds higher in experimental group as compared to control. We have also
290 measured the serum levels of metabolic waste (urea, creatinine), Na⁺, K⁺ and blood
291 haemoglobin among both groups of THS patients (**Table 3B**). These values were found to be
292 comparable in both groups (**Table 3B**). The severity score used for the evaluation of THS
293 patients are shown in **Table 4**. THS patients who received estrogen (Experimental Group) had
294 significantly (p<0.05) lower SOFA score as compared to control (**Table 4**). As compared to
295 control (30%), Experimental group (10%) have significantly lower mortality rate and
296 subsequently showed favourable outcomes within one year of observation period (**Table 4**).
297 Our study also showed, THS patients who received estrogen have comparatively lower chances
298 of developing sepsis associated complications in the ICU (**Table 4**).

299 Further, we followed the patients on different time intervals (day 1, day 3, day 7, day 14 and
300 day 28) and see the occurrence of any minor or major thrombotic events due to estrogen therapy.
301 We have not observed any thrombotic events in patients who received estrogen therapy,
302 therefore we could not performed D-dimer test.

303 **3.2 Expression of different subsets of white blood cells:**

304 As compared to control, patients of experimental group have significantly (p<0.05) lower
305 percentage of Treg cells on days 7 and 14. However, percentage of Treg cells was found to be
306 comparable on days 0 and 3 among both groups (**Fig. 2A**). As like Treg cells, B regulatory cells
307 (Breg) works as a negative regulator of the immune system, prevent potentially damaging
308 autoimmune and protective immune responses that lead to uncontrolled inflammation(19). The
309 percentage of Breg cells was found to be comparable (p>0.05) among both groups (**Fig. 2B**).
310 Patients received estrogen have significantly (p<0.05) lower percentage of monocytes on days
311 3, 7 and 14 (**Fig. 2C**). However, percentage of monocytes observed comparable among both
312 groups on Day 0.

313 NK cells are important for host innate and adaptive immune response to fight against obligate
314 intracellular pathogens, their role is very critical in disease conditions (20). The current data
315 showed that levels of NK cells are comparable among both groups (**Fig.2D**).

316 **3.3 Analysis of cytokines:**

317 The serum levels of TGF- β , IFN- γ , IL-12, MCP-1, and MIP-1 were found to be comparable
318 ($p>0.05$) among both groups of THS patients are shown in supplementary Table (**Table 3S**).

319 The serum levels of TNF- α , IL-6, and IL-10 observed significantly ($p<0.05$) lower in
320 experimental group when compared with controls (**Table 5**). The serum levels of TNF- α was
321 reported about two folds lower on day 0, 76.8(20.6-132.8) pg/ml and day 3, 84.6(22.3-148.2)
322 pg/ml in experimental group as compared to controls. However, levels of TNF- α observed
323 comparable ($p>0.05$) on days 7 and 14 (**Table 5**). Similar to TNF- α , serum levels of IL-6
324 observed significantly lower in experimental group when compared with controls on days 0 and
325 3. The level of IL-6 found to be 195.6 (54.2-267.8) pg/ml vs. 132.7(38.5-201.8) pg/ml on day
326 0 and 216.7 (62.5-307) pg/ml vs. 101.6 (56.2-187.5) pg/ml days 3 among control and
327 experimental groups, respectively. The serum level of anti-inflammatory cytokine IL-10 was
328 also reported to be significantly ($p<0.05$) lower in experimental group when compared with
329 controls on days 0 and 3 (**Table 5**).

330 **3.4 Serum levels of Estrogen and Androgen:** The serum levels of estrogen and androgen were
331 measured twice on Day 0, at the time of arrival in the ED (Basel line sample) and after 2 Litre
332 of Fluids resuscitation with estrogen (Experimental Group) and without estrogen (control
333 Group). The serum levels of estrogen and androgen were observed comparable among both
334 groups at the time of arrival (Table 6). After 2 L of fluid resuscitation, levels of estrogen was
335 found to be about 10 folds (295.24 ± 32.7 pg/ml) higher in experimental group when compared
336 with control (29.68 ± 2.8 pg/ml). While level of androgen was found to be comparable in both
337 groups (Table 6).

338 **3.5 Correlation of serum cytokines with severity of sepsis:** The correlation of severity scores
339 of patients (SOFA and APACHE II) with serum levels of cytokines TNF- α , IL-6 and IL-10
340 were measured on days 7 and 14. We were not observed any correlation of cytokines with
341 SOFA score and APACHE II score.

342 **4. DISCUSSION:**

343 The finding of present preliminary study on humans following trauma haemorrhage shows that
344 estrogen therapy is safe, did not show side effects, and significantly reduced the systemic levels
345 of serum cytokines. Our results indicate that administration of intravenous estrogen in humans
346 following trauma haemorrhage have several beneficial effects. THS patients recovered early,
347 protected from sepsis associated problems, and subsequently showed favourable clinical
348 outcome. Our results showed that estrogen therapy was associated with a significant reduction
349 in levels of T regulatory cells, monocytes, and cytokines TNF- α , IL-6 and IL-10. To the best of
350 our knowledge, this is the first human trial that showed estrogen application after trauma
351 haemorrhage resulted in reduction of TNF- α , IL-6 and IL-10 levels. The role of TNF- α and IL-
352 6 as a crucial mediators of pathological process of sepsis leading to MOF have been confirmed
353 by several studies (2,5). Furthermore, as a key inflammatory cytokine, TNF- α stimulates the
354 release of diverse mediators which themselves might directly or indirectly cause immune
355 depression and MOF(21). The previous finding from our laboratory and others confirmed the
356 elevated levels of serum cytokines IL-6 and IL-10 during post-traumatic sepsis that caused
357 defective cell mediated immunity that may leading to sepsis associated problems(22,23). The
358 anti-inflammatory cytokine IL-10 has previously been recognised as an important
359 immunosuppressant of cell mediated immunity in animals following trauma haemorrhage (24).
360 These mechanisms might be possible explanations for the observed beneficial effects of
361 estrogen therapy on sepsis associated problems and MOF after trauma haemorrhage. Therefore,
362 it might be assumed that estrogen exerts its beneficial effects on MOF and sepsis by decreasing

363 levels of TNF- α , IL-6 and IL-10. Our present findings conducted in humans are supported by
364 studies done in animal models of haemorrhage. The finding of *Trentzsch et al.*, 2014 in male
365 mice of haemorrhage showed that administration of estrogen restore the inflammatory storm
366 caused due to haemorrhage and also protect the mice from sepsis associated complications (25).
367 *Knoferl et al.*, 2002 already demonstrated that female sex hormones play a critical role in
368 maintaining immune response after trauma haemorrhage by suppressing the inflammatory
369 cytokines and preventing from sepsis (26). Furthermore, study of *Zeckey et al.*, 2011 confirmed
370 that administration of Finasteride, specific inhibitor of androgen in male mice following trauma
371 haemorrhage increased the levels of estradiol concentration, reduced the sepsis occurrence and
372 subsequently improved the survival (27). In contrast to this, few studies reported the elevated
373 levels of IL-10 in female mice following trauma haemorrhage, with higher levels of estrogen
374 and estradiol in septic patients particularly non-survivors (11,28). However, none of the studies
375 confirmed why female mice following THS have elevated levels of IL-10 and septic patients
376 have elevated levels of estradiol. We assume pre-existing disease conditions, genetic
377 heterogeneity of patients, varying nutritional status, and due to aberrant hormonal levels can
378 contribute differential response to estrogen.

379 The level of T regulatory cells and monocytes were reduced and showed similar trends at
380 different time points on days 3, 7 and 14 in THS patients who received estrogen when compared
381 with placebo control group. Monocytes is a crucial component of innate immunity that activates
382 the adaptive immune response (29). The depressed function of monocytes and altered secretion
383 of cytokines has been reported by several studies in male mice and humans following trauma
384 haemorrhage(30). Consistent with previous findings in animal models, our results indicate that
385 administration of estrogen following THS increased the resistance of humans to infections and
386 also protected from sepsis associated problems. THS patients who received estrogen have
387 significantly lower SOFA score and have comparatively higher survival rates than controls.

388 Therefore, we assume estrogen restored functioning of monocytes by modulating cytokine
389 secretions and preventing host from infections and sepsis associated problems.

390 The primary function of Treg cells was originally defined to prevent against autoimmune
391 diseases by maintaining self-tolerance(19). In male animals, the increased level of Treg cells
392 following THS and its association with depressed immune response has been well established.
393 The previous work published from our laboratory also confirmed the elevated levels of Treg
394 cells in THS patients are associated with immune suppression (9). The present finding is
395 supported by work of *Kovacs et al., 2002* that administration of estrogen in male mice
396 following THS reduced the levels of Treg cells and also protect the animals from sepsis(10).

397 Although, our study confirmed the reduced levels of Treg cells, monocytes, and serum
398 cytokines in humans following trauma haemorrhage. But multivariate logistic regression
399 analysis could not confirm estrogen as an independent factor that determine the immunological
400 changes occurred in THS patients. Therefore, our results could not allow us to conclude
401 whether observed affects are directly associated to estrogen or they are mediate via indirect
402 mechanism. Further clinical trials in more patients are required to conduct the safety and
403 efficacy profiles of intravenous estrogen in humans following trauma haemorrhage. Drug dose,
404 its frequency needs attention in large randomized control trials. Nonetheless, additional studies
405 are required to conduct in more patients to elucidate how estrogen therapy is helpful in reducing
406 cytokine storm, improving the functions of monocytes and other immune cells, and increasing
407 the survival rate following trauma haemorrhage.

408 **4.1 Limitations of study:**

409 This study has some limitations. Firstly, it is a single-centre study and has been done in small
410 sample size, thus restricting generalizability. However, the finding of present study observed

411 THS patients who received estrogen showed favourable outcomes and had lower level of
412 monocytes and T regulatory cells.

413 In addition, all the scoring system used to measure the disease severity and MOF has some
414 limitations (18) (17).

415 **5. CONCLUSION:**

416 In conclusion, the present study showed that administration of intravenous estrogen in trauma
417 haemorrhagic shock is safe and without any major or minor adverse events. It restored the inflammatory
418 insult and also overcome the problem of inflammation. Estrogen group showed trend towards
419 favourable clinical outcomes and had lower level of monocytes and T regulatory cells.

420 **Acknowledgements:** First of all, we are thankful to the Indian Council of Medical Research (ICMR),
421 New Delhi for the financial support. Authors are also thankful to the ICMR, New Delhi, India for
422 fellowship assistance. The excellent scientific assistance of Dr. Ashok Sharma and Dr. Arul Selvi is
423 acknowledged.

424 **Author contributions:**

425 Dr. D.L.Gupta designed the study, analysed the data, and prepare the figures and tables of the
426 manuscript and carried out the experiment with Shrestha. Dr. Kapil and Dr. Subhod help in recruiting
427 the patients from the ICU and providing the clinical details of the patients and samples. Dr. Sagar
428 drafted the manuscript and suggested the outline of the manuscript. Dr.DN Rao did the editing of
429 manuscript and suggested necessary correction's. Dr.Bhoi brought the grant from the ICMR to carry
430 out the research work and take the approval of human ethical clearance from the ICMR, Drug control
431 of India and from the Institute.

432

433 **Declaration of interest:** The authors have no conflicts of interest.

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539 **Figure Legend:**

540 **Fig. 1:** The details about the inclusion criteria, exclusion criteria and outcomes analysis of the
541 THS patients

542 **Fig.2:** Shows the percentage of Treg(CD4⁺CD25⁺FoxP3⁺), Breg (CD5⁺ CD19⁺ IL-10⁺)cells,
543 monocytes (CD14⁺, CD16⁺ and CD11c⁺) cells and NK cells(CD3⁻ CD56⁺ CD16⁺) in placebo
544 group of trauma patients(control) and estrogen treated trauma patients(Estrogen) on days 0, 3,7
545 and 14.

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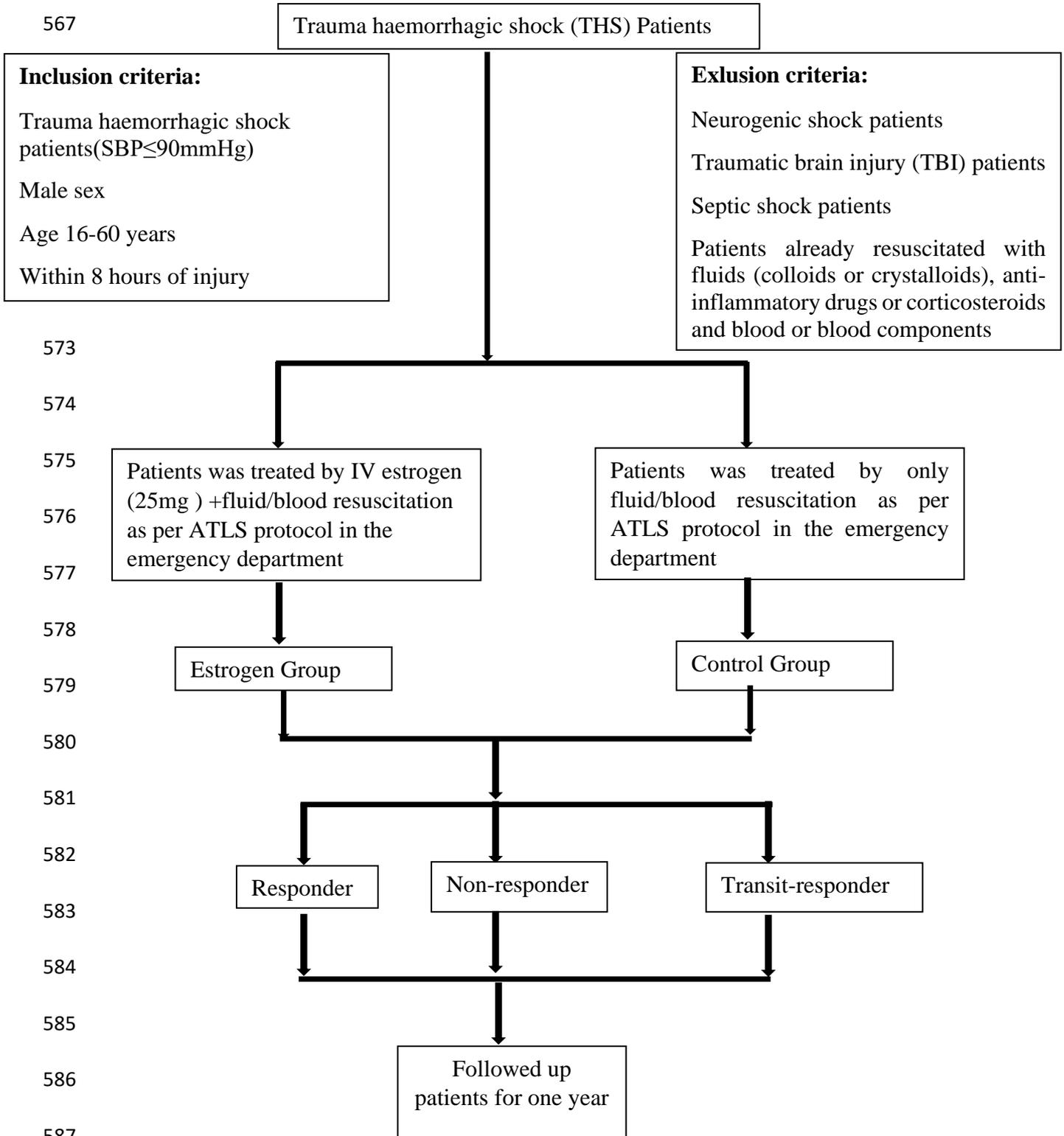
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564 **Figure 1:** Consort flow chart showing the inclusion criteria, exclusion criteria and outcome
565 analysis of THS patients



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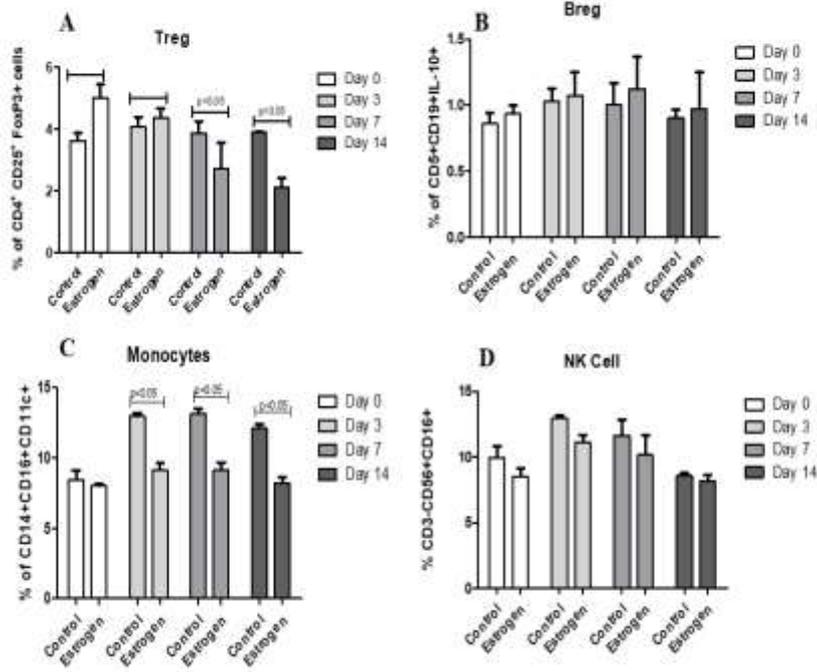


Figure 2: Shows the percentage of Treg(CD4+CD25+FoxP3+), Breg (CD5+CD19+ IL-10+)cells, monocytes (CD14+, CD16+ and CD11c+) cells and NK cells(CD3+ CD56+ CD16+) in both groups of THS patients on days 0, 3,7 and 14.

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607 **Table 1: Clinical and demographic details of control and experimental group:**

Parameters	Control Group n=20 (Mean ± SD)	Experimental Group n=20 (Mean ±SD)	p- Value
Age (yrs)	36.7±11.5	31.0±10.8	0.07
SBP (mmHg) at arrival	81.4±11.2	77.4±9.1	0.23
SBP (After 2 liter fluid /blood transfusion)	99.9±13.8	101.0±30.9	0.19
Heart rate	107.4±23.4	108±23.2	0.94
SPO2 in percentage (%)	100.5±4.1	98.6±2.3	0.09
Respiratory rate	19.8±4.8	21±5.2	0.38
Blood transfusion (no.of units)	4.6±1.8	4.8±1.7	0.19
FFP (no.of unit)	4.6±1.9	4.1±1.8	0.49

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609 **Abbreviations:**

610 SBP- Systolic blood pressure

611 SPO2-Oxygen saturation

612 FFP- Fresh frozen plasma

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623 **Table 2: Clinical Details of control and experimental group:**

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Clinical details	Control Group (n=20)	Experimental Group (n=20)
MOI (Mode of injury)	RTA =62.5%, Fall= 16.67%, Assault=4.17%, Other=16.67%	RTA = 41.18%, Fall= 17.65%, Assault=41.18%, Other=0
Type of Injury	Penetrating = 4 Blunt= 16	Penetrating = 5 Blunt=15
Any drug allergy	None	None
Fast	Positive= 37.5% Negative=62.5%	Positive= 48.72% Negative=51.28%
Chest X-ray	Hemothorax=4 Pneumothorax= 2, Rib fracture= 2	Hemothorax=0 Pneumothorax= 3, Rib fracture= 4
Pelvis X ray	fracture present =3	fracture present =0
Long bone Fracture	fracture=25%	fracture present =25.64%
Alcohol	Present= 9.09% absent=90.9%	Absent=100%
Response to fluid	Responder= 62.5% Non responder= 20.83% Transit responder=16.67%	Responder= 73.68% Non responder= 5.26 % Transit responder=21.05%

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637 **Table 3 (A): ABG details of control and experimental group:**

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ABG details	Control Group(n=20) Mean±SD	Experimental Group (n=20) Mean±SD	p-Value
PH	7.1±1.1	7.2±1.0	0.52
Po2	116.9±61.9	165.2±71.0	0.03
Pco2	40.2±10.6	37.8±6.7	0.45
HCO ₃ ⁻	30.6±4.3	31.8±5.5	0.23
Lactate	1.5±0.9	3.6±1.6	0.005
Base deficit	-7.42±7.36	-7.8±5.12	0.54

649 **Table 3 (B): Lab details of control and experimental group:**

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Lab details	Control Group (n=20) Mean±SD	Experimental Group (n=20) Mean±SD	p- Value
Hemoglobin (Hb)	10.9±2.7	11.1±2.4	0.89
Serum Na ⁺	138.2±6.8	138.5±5.9	0.9
Serum K ⁺	4.2±0.6	3.9±0.8	0.21
Blood Urea	23.1±7.9	24.5±7.5	0.58
Serum Creatinine	0.8±0.24	0.7±0.20	0.17

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659 **Table 4: Severity scores of control and experimental group:**

Severity score	Control group (n=20) (Mean±SD)	Experimental group (n=20) (Mean±SD)	p-Value
Injury severity score (ISS)	33.9±15.5	31.2±10.8	0.58
Glasscow coma score (GCS)	13.1±3.9	12.7±4.2	0.77
Shock Index (SI)	1.27±0.4	1.38±0.5	0.41
APPACHE (ICU score)	11.2±4.9	9.3±3.6	0.155
Sequential organ failure assessment score (SOFA) (ICU score)	4.6±2.0	3.2±1.0	0.013
OUTCOME	Survivors= 14(70%) Non-survivors=6(30%)	Survivors= 18(90%) Non-survivors=2(10%)	0.04

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672 **Table 5:** Serum levels of cytokines at different time points in THS patients who received
 673 estrogen (Experimental Group) and didn't received estrogen (Control group).

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	Day 0	Day 3	Day 7	Day 14
(Pg/ml)	TNF- α			
Control	154.7 (52.6-181.4)	167.5 (35.6-205)	90.8 (16.7-128.5)	50.5 (8.4-86.6)
Experimental	76.8 (20.6-132.8)	84.6 (22.3-148.2)	88.2 (30.5-138.7)	46.4 (10.2-67.2)
p-value	0.005	0.005	0.06	0.07
	IL-6			
Control	195.6 (54.2-267.8)	216.7 (62.5-307)	135.7 (45.2-216.8)	105 (37.6-156.8)
Experimental	132.7 (38.5-201.8)	101.6 (56.2-187.5)	98.5 (23.7-156.3)	97.2 (18.6-168.5)
p-value	0.005	0.005	0.02	0.04
	IL-10			
Control	92.4 (32.5-126.7)	156.7 (45-201.6)	189.8 (56.7-298.5)	192.6 (68.4-278)
Experimental	94.6 (15.5-128.5)	92.2 (22.5-167.8)	67.8 (12.5-104)	60.4 (14.6-102.5)
p-value	0.08	0.005	0.005	0.005

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691 **Table: 6** Serum levels of estradiol and testosterone in experimental group and control.

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		Control Group (HS) n=20 (Mean ± SD)	Experimental Group (HSE) n=20 (Mean ±SD)	p-value
At the time of arrival in the ED (Base line data)	Estradiol(pg/ml)	31.79±3.5	30.56±2.7	0.221
	Testosterone(ng/dl)	756±21	759.7±13.6	0.512
After 2L of Fluid resuscitation in the ED	Estradiol(pg/ml)	29.68±2.8	295.24±32.7	0.0001
	Testosterone(ng/dl)	702±18.70	710.8±11.4	0.080

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