

Equatorial to Polar genomic variability of the picoalga *Bathycoccus prasinus*

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Abstract

Phytoplankton plays a fundamental role in the ecology of ocean systems and is the key player in the global carbon cycle. At a time of global warming, understanding the mechanisms of its adaptation to temperature is therefore of paramount importance. Cosmopolitan planktonic species abundant in different marine environments provide both a unique opportunity and an efficient methodological tool to study the genomic bases of their adaptation. This is the case for the eukaryotic picoalga *Bathycoccus prasinus*, whose genomic variability we chose to study in temperate and polar oceanic waters. Using multiple metagenomic datasets, we found that ~5% of *B. prasinus* genomic positions are variable, with an overwhelming majority of biallelic motifs. Cold and temperate waters are clearly associated with changes in variant frequencies, whereas in transitional waters we found more balanced polymorphism at most of these positions. Mesophilic and psychrophilic gene variants are distinguished by only a few amino acid changes located at positions critical for physical and functional protein properties. These results provide new information on the genomic diversity of a cosmopolitan eukaryotic planktonic species and suggest “minimal mutational strategies” related to the properties of specific proteins at different temperatures.

Introduction

Protists represent the majority of eukaryotic diversity¹ and numerous studies address their astounding diversity within marine plankton²⁻⁴. While eukaryotic diversity among plankton is apparently extremely large, with more than 100,000 species⁴, early studies of genomic diversity tended to indicate some paradoxes. For example, a relatively high rate of interspecific divergence, 70 to 78% amino-acid identity between orthologous proteins, was reported for species of picoalgae within the genera *Ostreococcus*⁵ and *Bathycoccus*⁶. Similarly, while population sizes are expected to be gigantic, the first intraspecific diversity estimations for a variety of eukaryotic phytoplankton species revealed synonymous diversity (θ_s) of around 0.01^{7,8} to 0.02⁹, on par with values measured for multicellular organisms expected to have much lower effective population sizes. In sharp contrast, initial measurements of genetic diversity in the species *Emiliania huxleyi* have shown much lower rates than expected¹⁰, in seeming contradiction with estimations of mutation rate¹¹, highlighting the *Lewontin paradox*¹².

Until now, a few studies have investigated the spontaneous mutation rate from cultures of mutation accumulation lines, in diatoms¹³, in *Chlamydomonas*¹⁴ and among the three genera of Mamiellales¹⁵. The product of the spontaneous mutation rate (μ) by the effective population size equals the

47 intraspecific neutral diversity: $\theta_s = 2N_e\mu$ for haploids, so that both mutation rates and levels of
48 intraspecific diversity are required to infer N_e . However, descriptions of intraspecific genetic diversity
49 of eukaryotic plankton populations are scarce as a consequence of the difficulties of cultivation.
50 Other studies presented population genomics analyses from strains within the same species and
51 from multiple sampling sites such as for *Emiliania huxleyi*¹⁰ or for the Mamiellale *Ostreococcus tauri*⁷,
52 the diatom *Phaedactylum tricornutum*⁸ or the benthic diatom *Seminavis robusta*⁹. Recent studies also
53 addressed the challenge of estimating intraspecific genetic diversity from metagenomes, for example
54 in crustaceans¹⁶ or marine bacteria¹⁷

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56 Among phytoplankton, Mamiellales are the most prevalent photosynthetic
57 picoeukaryotes^{2,18}. Particularly abundant in coastal waters¹⁹, they are also widely distributed in open
58 ocean and their geographical distribution has been studied in recent years on the basis of
59 metabarcoding^{20,21} and metagenomics datasets^{6,22}. The three main genera of this order, *Bathycoccus*,
60 *Micromonas* and *Ostreococcus*, are distributed over most latitudes and are therefore found in a wide
61 range of environmental conditions^{20,22}. However, more precise environmental preferences and
62 biogeographical distribution seem to segregate species within the three genera; *Bathycoccus*
63 *prasinus*, *Ostreococcus lucimarinus* and *Micromonas pusilla* have been found at significantly lower
64 temperatures than *Bathycoccus* TOSAG39-1 (affiliated to *B. cadidus*²³), *Ostreococcus* RCC809 and
65 *Micromonas commoda*²².

66 In the Arctic Ocean, which is colder and richer in nutrients than temperate waters²⁴,
67 *Micromonas polaris* is largely dominant and seems restricted to this environment^{25,26}, however
68 *Bathycoccus prasinus*, which is found in most oceans, is also abundant^{27,28}, suggesting an
69 extraordinarily broad distribution of this species. The third genera, *Ostreococcus*, has never been
70 reported in the Arctic despite being present in adjacent seasonally ice-covered waters such as the
71 Baltic sea²⁹ or the White sea³⁰. In Antarctic waters, only *Micromonas* has been detected, with
72 populations defined as highly similar to the Arctic³¹ ones.

73 The stringent and abundant detection of the *Bathycoccus* genome in polar, temperate and
74 tropical waters makes this organism a model of choice for analyzing intraspecific genomic diversity,
75 particularly Single Nucleotide Variants (SNVs), in relation to this natural environmental gradient. The
76 evolutionary strategies of cold-adapted organisms are starting to be better understood thanks to the
77 study of the cold-evolved enzymes they are able to produce. This adaptation process can in some
78 instances be related to protein structural changes affecting stability and flexibility^{32,33}. Studying
79 *Bathycoccus* structural protein variants *in situ* at different temperatures could further improve our
80 knowledge concerning this mechanism.

81 Here we leverage metagenomic data of plankton from the *Tara* Oceans collection^{34,35}, to
82 compare the genomic diversity of *Bathycoccus prasinus* in polar and temperate environments.

83

84 **Materials and Methods**

85 *Genomic resources*

86 *Bathycoccus* RCC1105 was isolated in the bay of Banyuls-sur-mer at the SOLA station at a
87 depth of 3m in January 2006³⁶. Sequences were downloaded from the Online Resource for
88 Community Annotation of Eukaryotes³⁷. Metagenomics reads from *Tara* Oceans samples^{38,39}
89 corresponding to the 0.8 to 5µm organism size fraction⁴⁰ (ENA projects numbered PRJEB4352 and
90 PRJEB9691) collected at surface and deep chlorophyll maximum layers of the water column were
91 used to assess the diversity of *Bathycoccus*. For the arctic samples, from TARA_155 to TARA_210, as
92 this size fraction was not available the 0.8 to 2000 µm size fraction was used instead. In stations

93 where both 0.8-5 μ m and 0.8-2000 μ m size fraction samples were available we obtained similar
94 *Bathycoccus* relative abundance values (Supplementary Figure 1) probably due to the higher
95 abundance of smaller organisms in plankton.

96

97 *Environmental parameters*

98 To assess the potential correlation between genomic variations and local environmental
99 conditions, we used the physicochemical parameter values related to the Tara Oceans expedition
100 sampling sites available in the PANGAEA database⁴⁰. Those contextual data tables can be
101 downloaded at the following link: <https://doi.pangaea.de/10.1594/PANGAEA.875582>.

102

103 *Abundance counts*

104 We mapped metagenomics reads on RCC1105 genome sequences using the Bowtie2 2.1.0
105 aligner with default parameters⁴¹. We then filtered out alignments corresponding to low complexity
106 regions with the DUST algorithm⁴² and selected reads with at least 95% identity and more than 30%
107 high complexity bases.

108 Some gene sequences might be highly similar to orthologous genes, in particular,
109 *Bathycoccus* TOSAG39-1⁶ co-occurring with *Bathycoccus prasinos* in some samples, and thus recruit
110 metagenomic reads from different species. To exclude interspecific mapped reads, we used a
111 statistical approach to discriminate genes with atypical mapping counts. This analysis is based on the
112 assumption that the values of the metagenomics RPKM (number of mapped reads per gene per kb
113 per million of mapped reads) follow a normal distribution. We conducted the Grubbs test for outliers
114 to provide for each sample a list of genes with RPKM distant from this distribution then merged all
115 lists to have a global outliers set⁴³. We finally computed relative genomic abundances as the number
116 of reads mapped onto non-outlier genes normalized by the total number of reads sequenced for
117 each sample.

118

119 *Filtering steps*

120 Using the previously filtered set of reads, we discarded those with MAPQ scores < 2 in order
121 to remove reads mapping at multiple locations with the same score, which are randomly assigned at
122 either position by Bowtie2 and could cause errors in variant detection. We then calculated genome
123 coverage at each position in the coding regions using BEDTools 2.26.1⁴⁴ and kept samples having an
124 average coverage above 4x. On the initial set of 162 samples, 27 passed this filter. Among them, 4
125 samples considered to have very high coverage (more than 30x) were selected for a first in-depth
126 variant analysis. The larger set of 27 samples was subsequently used for a global biogeography study.

127 For each sample, the “callable sites” used in variant analysis were selected from samtools
128 mpileup results⁴⁵ as genomic positions covered by a number of reads comprised between 4 and a
129 maximum corresponding to the average coverage in the sample plus twice the standard deviation.

130

131 *Variant calling*

132 We detected variable genomic sites using Anvi'o⁴⁶ on the two sets of *Tara* Oceans samples: a
133 set of four samples above 30x and a set of 27 samples above 4x coverage. For that we created two
134 Anvi'o databases then performed two separate SNV (Single Nucleotide Variant) and SAAV (Single
135 Amino Acid Variant) calls. Quince mode was used in order to retrieve information for each variant
136 locus in all samples. This method takes into account multiple variants in a codon by indicating all
137 amino acids present at a given position rather than independently projecting SNV results. Only
138 positions callable in every sample of interest were kept, in order to make comparisons. For the 4-
139 sample set, a total of 10 585 350 positions (86% of *Bathycoccus* coding regions) were analyzed, while
140 only 1 715 482 positions (14%) were kept across the 27-sample set. We considered an allele to be a
141 variant if it was confirmed by at least 4 reads. Variants were then considered either fixed in a sample
142 (called fixed mutation) if presenting a single allele different from at least one other sample, or
143 polymorphic (called SNV) if presenting two or more alleles within the same sample. Only amino-acid
144 variants for which a corresponding nucleotide variant passed those filters were kept.

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Genomic distance computation

We computed a genomic distance for each pair of samples based on allele content at each SNV position. An allele corresponds here to a nucleotide which can be considered either present or absent, without taking its frequency into account. The distance at each position thus corresponds to the number of common alleles between the samples divided by the sum of the number different alleles in each sample. Identical allelic content would give a score of 1, no allele in common would give a score of 0. The global distance is the average for all positions.

Based on this distance metric, we computed a phylogenetic tree of all samples plus the reference genome RCC1105 using the core R function `hclust` with default parameters. We obtained bootstrapped values using the `pvclust` function with 9999 permutations, from the `pvclust 2.0-0` R-package. Dendrograms were plotted using the `dendextend 1.3.0` package.

Finally, in order to better visualize the information, we used the same values to assign colors to each sample, with the distance between colors reflecting the genomic distance between samples. To achieve this, we carried out Principal Component Analysis (PCA) with package `vegan 2.4-1`, and translated position values from the three first axes to a Red Green Blue (RGB) color-code for each sample. The resulting color circles were plotted on a map using R-packages `ggplot2_2.2.1`, `scales_0.4.1` and `maps_3.1.1`.

Statistical approaches

Multiple statistical analyses were performed for this manuscript based on SNV and SAAV results. First, we computed pairwise water temperature distances in order to run a Mantel test against the genomic distances of all 27 samples, using R-package `vegan 2.4-1`.

Another experiment focused on variants significantly associated to the large distance between two main groups defined from the hierarchical clusters previously computed. We thus gathered pairwise distances between samples for each position. Then, we selected loci with a mean distance above 0.6 (3.4%) between temperate and cold samples and plotted the density curves of their frequencies for each sample independently using `ggplot2_2.2.1`.

Finally, we studied the correlation between amino-acid frequencies and sample temperatures in order to assess a potential swap of major and minor alleles between cold and temperate samples. For each SAAV, we took the amino-acid with the highest frequency at the position for each sample, and only kept the positions with at least two different alleles among samples. We then computed a Wilcoxon test for each position using as a first group the temperatures for which the first amino acid was found and as a second group the temperatures for which the other amino acid was found, and applied a Bonferroni correction to the resulting p-values. We kept positions with p-values smaller than 0.05, for a total of 13 variants, and plotted their amino-acid frequencies in our samples using R-packages `gridExtra 2.2.1` and `ggplot2_2.2.1`.

Protein Homology modeling

The protein sequences of Figure 4 were systematically scanned against the structural databases PDB⁴⁷ and CATH⁴⁸ to search for structural representatives. Two of them, *Bathycoccus* EPSPS (PDB_id: 5xwb) and eEF3 (PDB_id: 2ix3), displayed sufficient similarity to build reliable models and to locate the sequence variants with respect to their 3D structure. Models of *Bathycoccus prasinus* eEF3, EPSPS and of mesophilic and psychrophilic EPSPS sequences found in the Ocean Gene Atlas web-server (OGA⁴⁹) were constructed using the SWISS MODEL server⁵⁰. The alignments were visualised with JALVIEW⁵¹ and the structures were compared with the *Pymol* program⁵². Electrostatic potentials were generated by the vacuum electrostatic function of *Pymol* to obtain a qualitative view of the surface potentials. *Pymol* scripts were used for the systematic localisation of the mutants in the model structures and to quantify and select by distance criterion, mutations of charged residues in the vicinity of pre-existing like-charged residues.

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Search for EPSPS homologs in OGA

The protein sequence of gene Bathy12g01190 potentially encoding a 3-phosphoshikimate 1-carboxyvinyltransferase (uniprot identifier : K8FBR9), involved in chorismate biosynthesis, was used to search for similar sequences in the Marine Atlas of *Tara* Ocean Unigenes (MATOU) with the Ocean Gene Atlas web-server⁴⁹. A total of 1679 eukaryotic metagenomic genes were identified using the default parameters (blastp with a threshold of 1E-10). The selected sequences were separated in two groups based on their strict occurrence within latitude ranges (Mesophilic and Psychrophilic). A total of 227 gene sequences were found only in the Arctic *Tara* oceans stations (from 155 to 210) and named as “arc” (for Arctic). The other gene sequences found in all other latitudes and not in the Arctic stations were named “na” (for not Arctic) and this group contains 678 sequences. The translated metagenomic sequences which aligned with Bathy12g01190 over at least 200 aa were kept. A total of 78 Arctic sequences and 226 non-Arctic sequences were selected and aligned with MAFFT⁵³. The multiple sequence alignments used for phylogenetic analyses contained 631 positions (65 sequences). The best fitting substitution model and rate variation parameters were selected using ProtTest 3⁵⁴ according to the smallest Akaike Information Criterion: WAG+I+G). The phylogenetic reconstructions were performed using PhyML 3.0⁵⁵. Bootstrap values were calculated with 100 bootstrap replicates. The resulting phylogenetic trees were edited using FigTree⁵⁶. The taxonomy of the metagenomic sequences was added to their name in the tree, Supplementary Figure 7.

Results

Bathycoccus genomic diversity

We analysed the natural genomic diversity of *Bathycoccus* RCC1105 by mapping metagenomics reads from the *Tara* Oceans expedition^{38,39} on the reference genome³⁶ as previously reported^{6,22}. Except for chromosomes 14 and 19, known as “outlier chromosomes” (see below), the coverage of recruited reads was relatively homogeneous across genes per sample, with a standard deviation ranging from 30 to 38% of the mean coverage on callable positions (Figure 1).

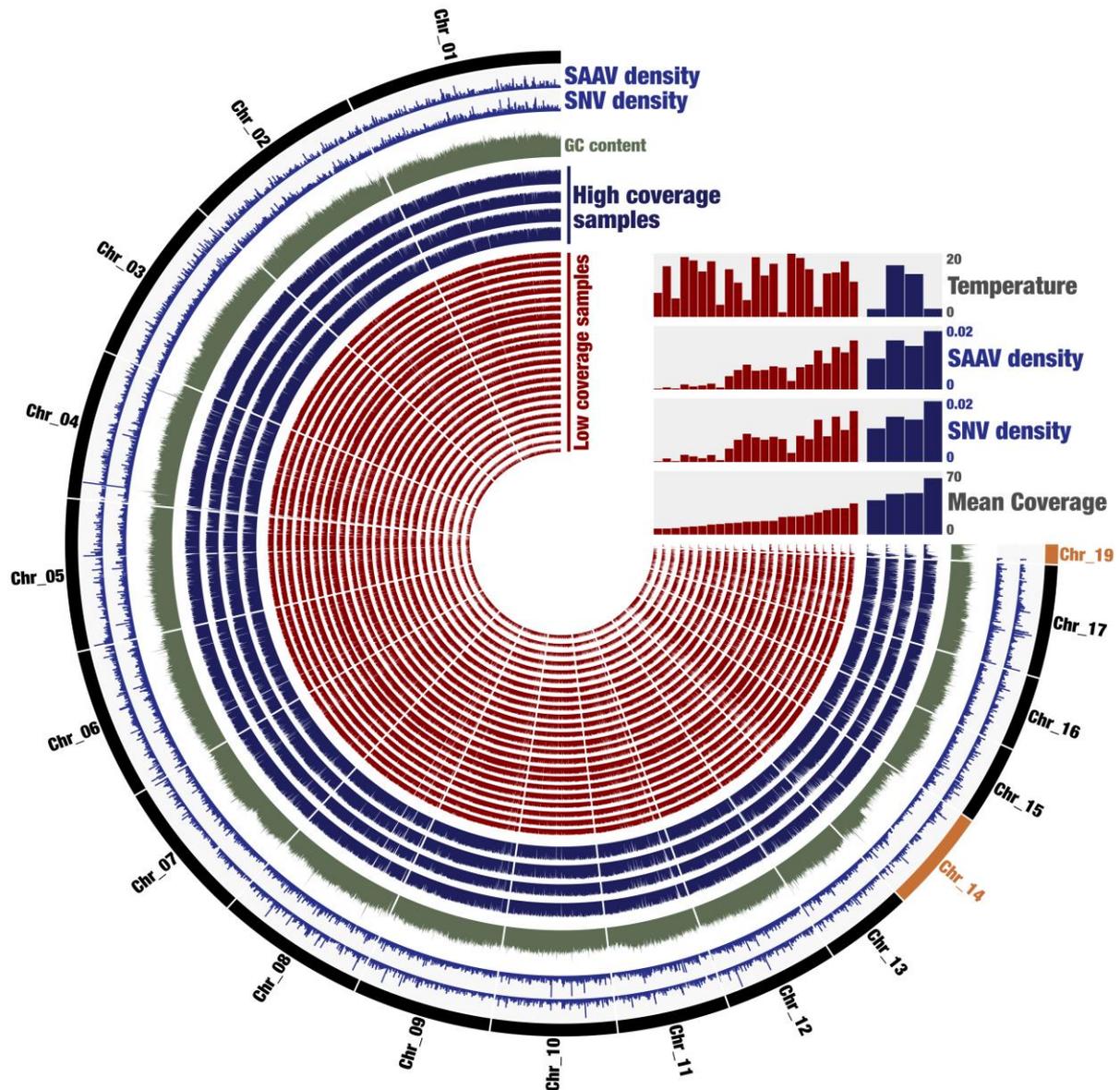
Chromosome 19, the SOC or Small Outlier Chromosome, has previously been described as hypervariable; accordingly, it presents a major coverage drop along most of its length. Chromosome 14, the BOC or Big Outlier Chromosome, possesses a large region considered as the mating type locus. In our study, unlike the SOC, the coverage is similar to standard chromosomes in the candidate mating type locus of BOC, which would suggest we recruited both mating types. The first third of the chromosome which has a higher GC content presents a slight coverage drop in most samples.

Looking in detail at the Single Nucleotide Variant (SNV) and Single Amino-Acid Variant (SAAV) densities per sample (Figure 1), we observe a positive correlation (spearman test p-value 2.E-07, rho 0.82) between densities and coverage. However, neither densities nor coverage appear to be linked to the water temperature.

For further analysis of the genomic and geographic distribution of SNVs, we applied a minimum threshold of 4X minimum coverage of recruited reads, selecting 27 samples. We also considered a subset of 4 samples where the average coverage of recruited reads is above 30x to detect and possibly quantify the presence of several alleles on more loci. Hence, we identified 11 million genomic positions as callable sites per sample (see methods) on average for the two sets as potential resources for SNVs. We verified the coherence of both sets for comparison of different environments.

Using the reduced set of four samples, a total of 350 478 non-redundant genomic positions present variations in one or more sample, corresponding to 3.31% of our initial set of callable positions (Table 1). The mean coverage goes from 38.41 in one arctic sample to 63.87 in the other

249 one, with two temperate samples falling in between. The total variant density reaches a maximum of
 250 1.96% in the most covered sample, and the majority of those variants correspond to biallelic SNVs.



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 252 **Figure 1:** *Bathycoccus* whole genome diversity in 27 Tara Oceans samples. Description of layers starting from the exterior:
 253 chromosome number (in black and outliers in orange), SAAV and SNV average density among the four best covered samples
 254 (in blue), GC content in percentage (in green), each layer then corresponds to the coverage of one sample, ordered by
 255 mean coverage (high-coverage in blue then low-coverage in red). Figure computed with Anvi'o

256
 257 The coverage and number of callable sites are consistent between chromosomes for all samples,
 258 except for chromosome 19 (79 to 91% against 23% for callable sites) which has a very low horizontal
 259 and vertical coverage (Supplementary Table 1). The variant density appears homogeneous among
 260 chromosomes, except for chromosome 14, where the density drops to half of that found for the
 261 other chromosomes. Variant density also appears homogeneous across the four different samples
 262 (Supplementary Figure 2).

263
 264 At the codon level, we detected SNVs leading to amino-acid changes (SAAVs, as previously
 265 reported¹⁷) to approximate the ratio of non-synonymous versus synonymous variants by dividing the
 266 number of SAAVs by the number of SNVs. About 6% of codons containing SNVs have more than one
 267 nucleotide variant. Here, the SAAV/SNV ratios per sample range from 0.33 to 0.40 without apparent
 268 geographical or environmental patterns for the four samples. By associating each SAAV with an

269 amino acid substitution type (AAST), defined as the two most frequent amino acids in a given SAAV,
 270 we obtained a distribution of observed AAST frequencies⁵⁷ (Supplementary Figure 3) similar to the
 271 one found with the same rationale for a bacterial population detected at higher abundance¹⁷. This
 272 confirms the validity of our dataset for further analyses of SNVs and SAAVs.
 273

Samples	Callable sites	Mean coverage on callable sites	Total number of variants	Number of fixed mutations	Number of biallelic SNVs	Number of triallelic SNVs	Number of quadriallelic SNVs
81DCM	10 972 751	45.73	146 793 (1.38%)	15 943	130 186	662	2
135DCM	10 983 591	44.80	155 249 (1.46%)	14 310	140 083	854	2
196SUR	11 181 235	62.13	208 320 (1.96%)	7 557	199 024	1732	7
209SUR	11 023 554	37.77	108 967 (1.03%)	14 303	94 463	201	0

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 275 **Table 1:** Number of variants for the four best-covered samples, total and separation according to the number of alleles
 276 found at the loci in each sample.
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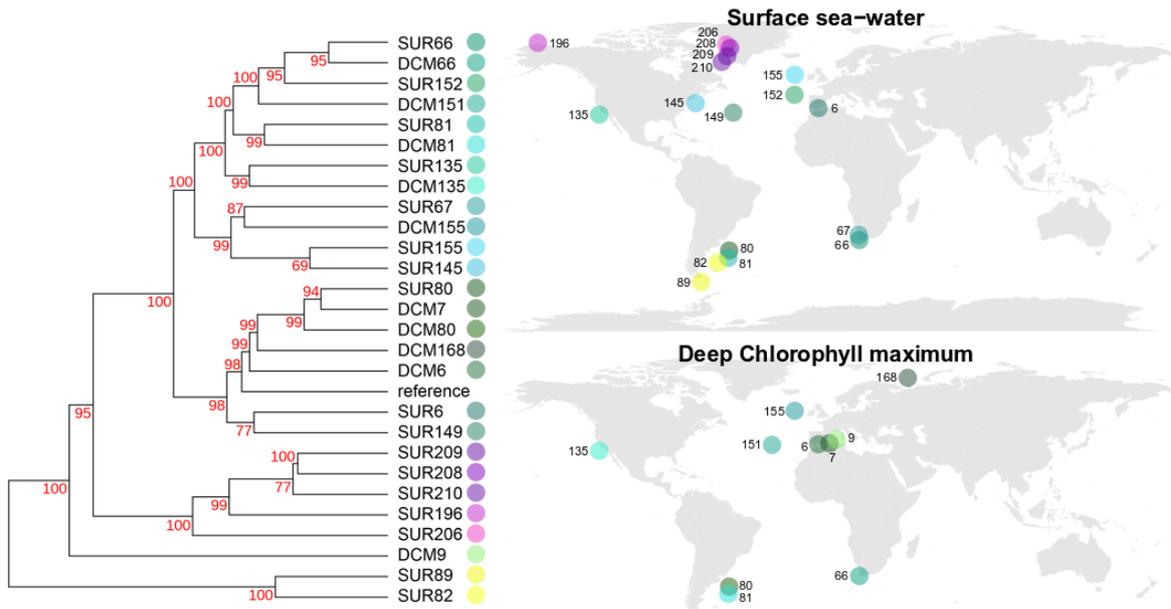
278 On the larger set of 27 metagenomic samples, the density of variants was positively linked
 279 among the sets with an almost perfect linear correlation (Supplementary Figure 4) and SAAV/SNV
 280 ratios are also similar, validating use of this larger set of samples on a reduced portion of the
 281 genome. In these 27 samples we obtained a total of 80 284 SNVs and fixed mutations which
 282 correspond to 4.68% of callable positions (Supplementary Table 2). This higher number of variant
 283 alleles compared to the previous value on four samples is expected given the greater sample size. As
 284 previously observed, most samples present more polymorphic positions than fixed allele positions
 285 among our set of callable sites. A notable exception, samples from southern waters provided a very
 286 low SNV rate for good read coverage. At the SAAV level, we cannot see any particular correlation
 287 between the SAAV/SNV ratio and either environmental conditions or geographical patterns at
 288 oceanic basin scale. AAST distributions are almost identical using the 4 and 27 sample sets, with only
 289 minor inversions in AAST prevalence.
 290

291 *Population structure analysis*

292 To compare populations at SNV level, we computed pairwise genomic distances between all
 293 samples considering fixed and polymorphic positions within the set of nucleotide variations found in
 294 the 27 samples (Methods). A dendrogram representing these distances among samples clearly
 295 separates Arctic and temperate samples (Figure 2). The southern samples (numbers 82 and 89) are
 296 the furthest away from all the other groups, perhaps related to their particular environment, but
 297 even more surprisingly, the Mediterranean Sea sample 9DCM is also positioned away from the
 298 others. Those three samples present much lower polymorphism.
 299

300 To facilitate visual interpretation of a geographic representation of these genomic distances,
 301 each population was assigned a color such that the difference in color between populations reflects
 302 their genomic distance (Methods, Figure 2). Multiple biogeographical patterns emerged, for example
 303 a clear separation of Arctic samples in purple and austral ones in yellow. Most temperate samples
 304 are represented in a gradient of green, but sample 145SUR, near the end of the Labrador current
 305 thus under the influence of Arctic waters, and 155SUR near the end of the Gulf Stream just before
 306 Arctic waters, are both represented in blue. Sample 135DCM, located off the coast of California near
 307 a site of cold and rich upwelling and samples 81SUR and 81DCM situated close to austral waters are
 308 represented in blue-green colors, intermediate between temperate and warm-cold transition points.
 309 The Mediterranean sample 9DCM mentioned above appears in a yellow-green color, which is
 310 coherent with its genomic patterns less distant from the austral samples.

311 A Mantel test between matrices of genomic and temperature differences confirms a positive
 312 correlation (0.4818 statistic at p-value=0.001, Supplementary Figure 5).
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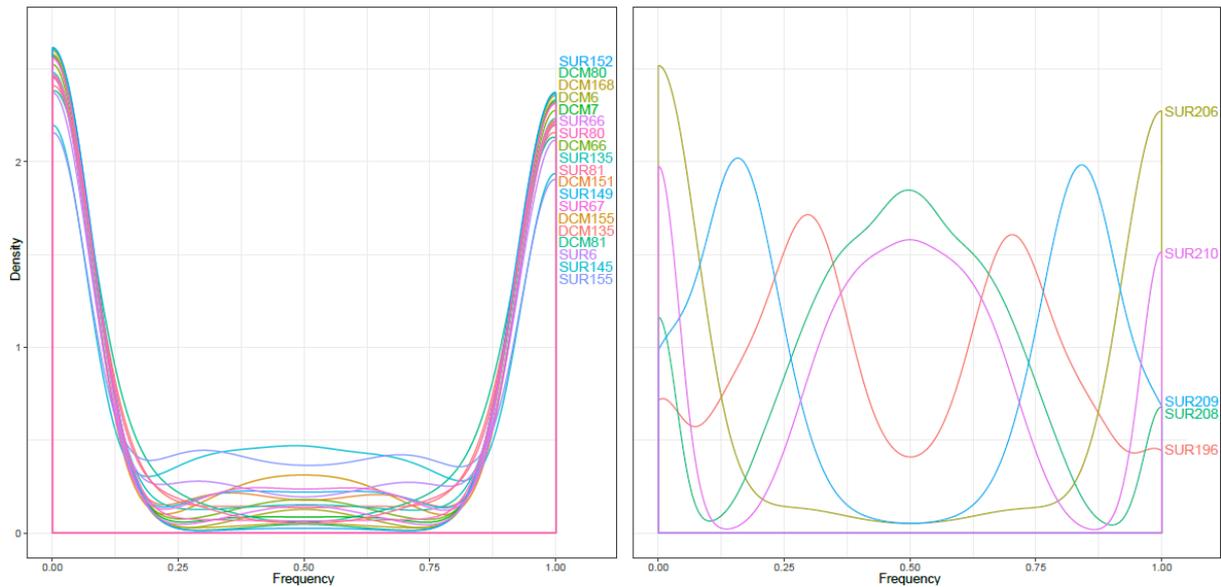


314 **Figure 2:** *Bathycoccus prasinos* genomic distance among 27 sampled populations based on nucleotide variant patterns,
 315 displayed as a phylogenetic tree (left panel) and a biogeography map (right panel for surface (top) or Deep Chlorophyll
 316 Maximum (bottom)). The similarities of sample colors (right) reflect the genomic similarities of populations (left) (Methods).
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319 *Genomic differences between temperate and cold-water populations*

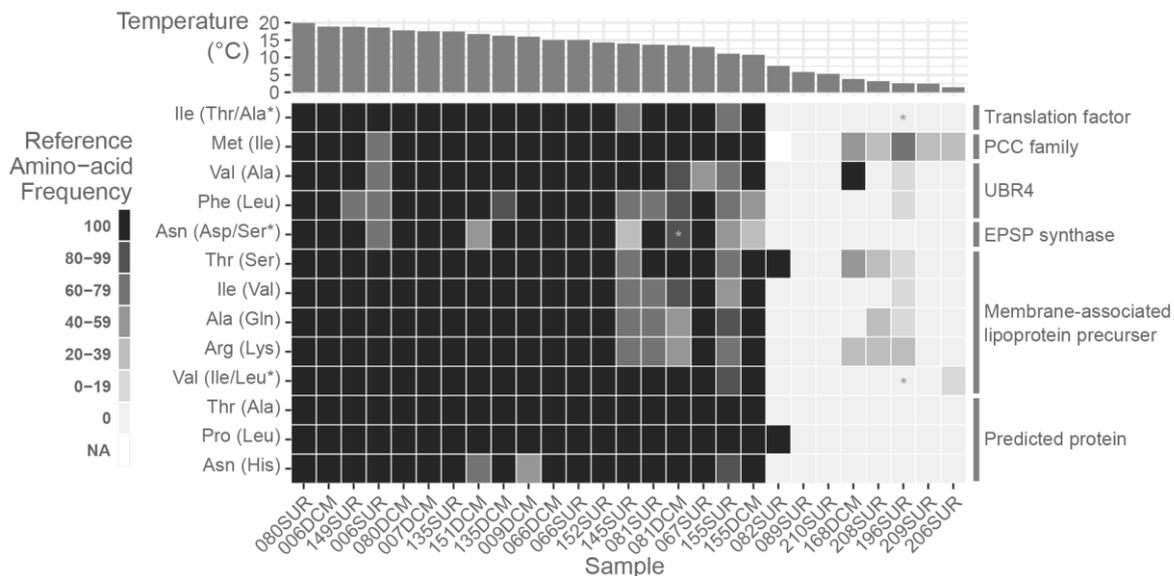
320 We identified a set of 2742 SNVs that significantly distinguish temperate and cold
 321 populations (Methods) and verified very different allelic frequency distributions (Figure 3). Using the
 322 complete set of SNVs, we retrieved similarly shaped allelic frequency distributions for temperate
 323 populations but not for cold ones (Supplementary Figure 6). In temperate samples, a majority of
 324 alleles are fixed, observed frequencies are close to 0% or to 100%. We cannot rule out the possibility
 325 that other variants are present in populations at rates below our detection capacity. In contrast, in all
 326 but one of our arctic samples (sample 206), the genomic positions of this set mostly present local
 327 polymorphisms between two principal alleles, present in different ratios depending on the sample
 328 (80/20, 70/30 or 50/50 ratios). The high genomic distances between arctic and temperate
 329 populations would thus be mainly related to this group of loci that appear biallelic in the Arctic but
 330 present a single allele elsewhere.
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332 Finally, we examined amino-acid changes between cold and temperate populations by
 333 selecting SAAVs for which each allele was significantly statistically associated with a different water
 334 temperature (Methods), resulting in a set of 13 genomic positions. We analyzed their allele
 335 frequencies with respect to the genes in which they were located (Figure 4) and found a clear pattern
 336 of swap of major amino-acids between temperate and cold samples, for which arctic and austral
 337 populations have similar phenotypes for those positions. In most samples, the amino-acid
 338 frequencies of these positions correspond to fixation or near-fixation. Interestingly, populations
 339 presenting a protein polymorphism were often sampled at intermediate temperatures and also at
 340 transition points between different oceanographic systems (samples 145 in North-Atlantic or 81 in
 341 South-Atlantic).
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 344 **Figure 3:** Allele frequency distributions for 2742 selected SNVs for different samples belonging to the temperate (left
 345 panel) or the arctic (right panel) cluster.
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347 In an attempt to elucidate the molecular mechanisms of cold adaptation, we sought to locate
 348 the single mutations in the structure of proteins listed in Figure 4. One of them contains three amino-
 349 acid mutations but has no functional annotation. Others appear related to stability of the protein
 350 structure or to low or high temperature, such as the membrane-associated lipoprotein precursor
 351 containing six mutations, or the PCC (Polycystin Cation Channel) protein. Indeed structure and
 352 concentration of lipids has been shown to be important for cold adaptation in many organisms^{58,59}
 353 and transport proteins might need specific adaptations in order to function at low temperatures^{60,61}.
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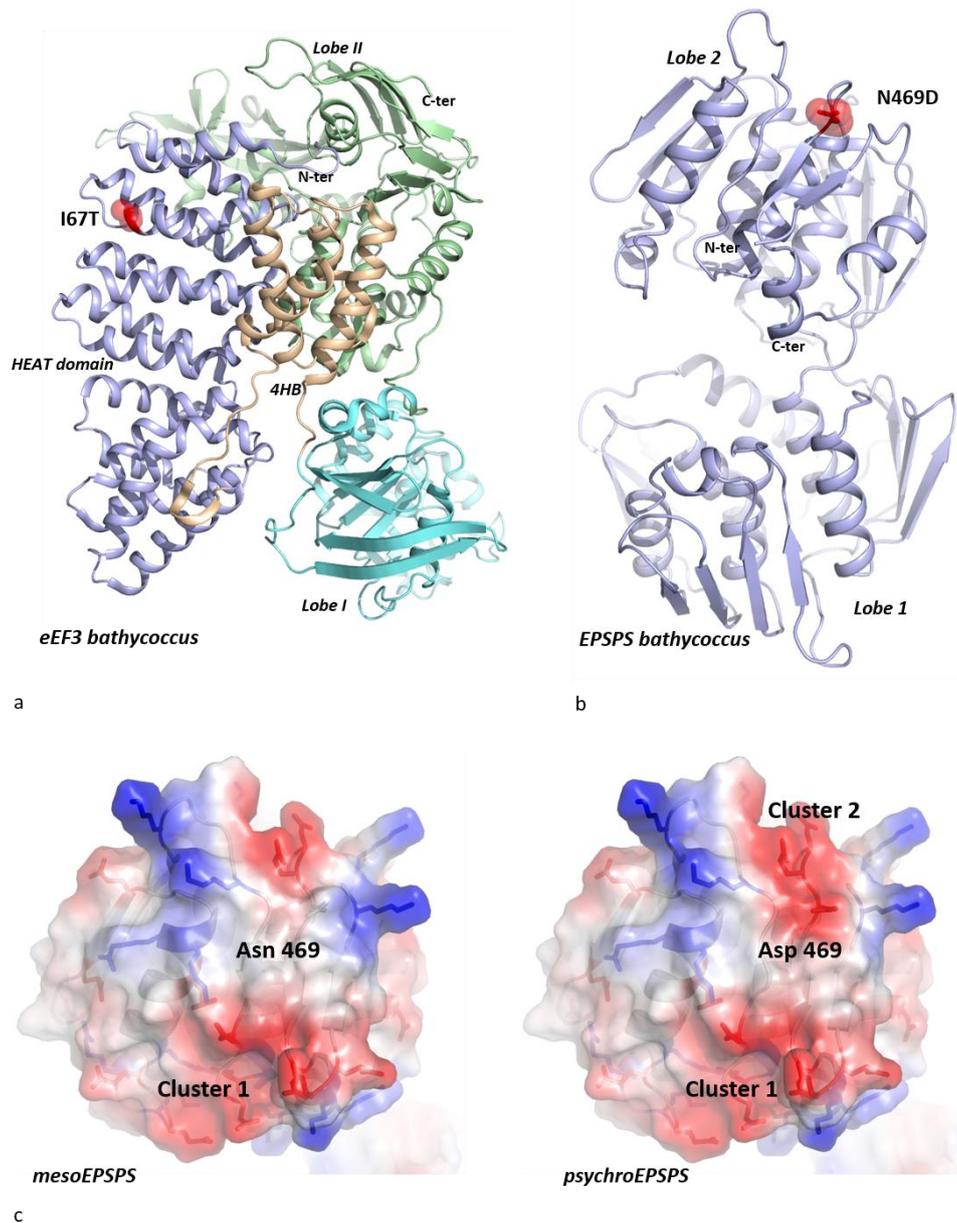
356
 357 **Figure 4:** Heatmap presenting the frequency of amino-acids among 27 samples in 13 genomic positions that segregate
 358 populations according to temperature. Alternate amino-acids found are indicated in parentheses. Samples are sorted by
 359 their temperature indicated at the top, and the six proteins in which variants are found are indicated on the right. From top
 360 to bottom, the proteins correspond to Bathy02g02490, Bathy03g01170, Bathy09g03590, Bathy12g01190, Bathy13g00360,
 361 Bathy13g00290.
 362

363 Two sequences have close structural homologues making it possible to build reliable models
 364 and locate the mutations. The first one displays 47.26 % identity with the yeast translation factor

365 eEF3 (PDB_id: 2xi3)⁶² that is composed of 5 subdomains (HEAT, 4HB, ABC1, ABC2 and chromo). The
366 I67T mutation is localized in the HEAT domain⁶³ consisting of a repeat of 8 pairs of α -helices forming
367 the N-terminal domain (1-321) of the yeast eEF3 structure. However, the alignment of yeast and
368 *Bathycoccus* eEF3 sequences reveals that the yeast sequence possesses an insertion (H43-S77; yeast
369 eEF3 numbering) that corresponds to the second pair of α -helices of the HEAT domain. Thus, the
370 *Bathycoccus* eEF3 HEAT domain has only 7 helix pairs and the I67T mutation is located at the C-ter
371 end of its third α -helix, which contacts the 4HB domain (Figure 5a). The replacement of an Ile with a
372 less hydrophobic Thr likely destabilises the packing of the amphiphilic α -helices on the inner side of
373 the HEAT domain and probably contributes to making it less stable and more flexible.
374

375 The second sequence corresponds to 5-enolpyruvylshikimate-3-phosphate synthase (EPSPS)
376 (PDB_id: 5xwb), the 6th enzyme of the shikimate pathway⁶⁴ that leads to the biosynthesis of
377 aromatic amino acids. This enzyme consists of two lobes, each composed of an arrangement of 3
378 similar subdomains consisting of a $\beta\alpha\beta\alpha\beta$ fold⁶⁵ (Figure 5b). The comparison of the *Bathycoccus*
379 *prasinus* EPSPS model to its structural homologs in the PDB indicates that this enzyme belongs to the
380 glyphosate-insensitive EPSPS class II⁶⁶. It does not possess the highly conserved '90-
381 LFLGNAGTAMRPLAA-104' motif characteristic of class I, and it has the RPMxR motif that has been
382 shown to be responsible for the glyphosate insensitivity of class II enzymes^{67,68}. This is an interesting
383 observation as it was thought that only bacterial EPSPS had class II representatives. The N469D
384 mutation is located on the surface of domain 2, on a loop joining the α 13-helix and the β 23-sheet. It
385 corresponds to proline 401 of its closest homolog, EPSPS from *Colwellia Psychrerythrae* (54.82 %
386 identity)⁶⁹. Interestingly, in the psychrophilic protein, the N469D mutation appears right next to two
387 other acidic amino acids (E468, D467) and generates a cluster of negative charges on its surface
388 (cluster 2) (Figure 5c). This coulombic repulsion may therefore contribute to destabilize the protein
389 surface of the cold variant. However, another particular feature of this variant may also help to
390 explain its critical role in cold adaptation. The newly formed cluster 2 arises in the vicinity (17Å) of
391 another negatively charged cluster (cluster 1) shared by both the mesophilic and psychrophilic
392 *Bathycoccus* EPSPS forms (Figure 5c). It is therefore likely that this proximity contributes further to
393 destabilize the psychrophilic protein. This illustrates how a single but strategically poised mutation
394 can produce a global effect on the overall electrostatic properties and thus the stability of the
395 protein. This indicates a possible "minimal" evolutionary strategy that contingently benefits from the
396 protein's surface characteristics related to its stability with single mutational events. To test the
397 generality of this evolutionary mechanism, we extended our analysis to eukaryotic EPSPS homologs
398 found in the *Tara Oceans* metagenomic data.
399

400 Using the OGA server⁴⁹, we selected two groups of sequences distributed specifically
401 according to their latitude, one strictly confined to the poles and the other strictly present in
402 mesophilic areas. The sequences of these two groups were then aligned together and a tree was
403 constructed to select the closest pairs of psychrophilic and mesophilic planktonic eukaryotic EPSPS
404 (Supplementary Figure 7). The comparison of each sequence pair identified a set of mutations most
405 likely associated with psychrophilic life and allowed their localisation in the corresponding structures.
406 The vast majority of mutations occurs on loops at the surface of the two domains and are distributed
407 on equivalent structural motifs through the pseudo 3-fold symmetry of each lobe (Supplementary
408 Figure 8). Interestingly, among the mutations that involve charged residues (34% of the total
409 mutations, Supplementary Figure 9 a-c), 86% of the acidic and 62% of the basic mutated amino acids,
410 respectively, appear in close proximity of like-charged amino acids (Supplementary Figure 9d).
411 Positively charged clusters are also observed in cold adaptation in this enzyme family (Supplementary
412 Figure 9e). This analysis therefore supports the idea that creating clusters of like-charged residues on
413 the EPSPS protein surface constitutes an evolutionary strategy for cold adaptation.
414



415
 416 **Figure 5:** Positions of the single mutations in the structure of eEF3 and EPSPS. a : *Bathycoccus prasinos* eEF3 model. b:
 417 *Bathycoccus prasinos* EPSPS model. The locations of the mutations are represented by a red sphere. c: Comparison of the
 418 electrostatic surface potentials of the *Bathycoccus prasinos* mesophilic (left) and psychrophilic (right) EPSPS models. Cluster
 419 1 is formed by the grouping of E60, E61, D474, D449 and D452, on the edge of domain 2 of both mesophilic and
 420 psychrophilic *Bathycoccus prasinos* EPSPS. Cluster 2 is formed by the grouping of D457, E468 and the mutated D469 in the
 421 psychrophilic EPSPS.

422

423

424 Discussion

425 Using metagenomics samples from the *Tara* Oceans expedition and the genome sequence of
 426 the picoeukaryote alga *Bathycoccus prasinos* RCC1105, we assessed the genomic diversity of a
 427 cosmopolitan species model in temperate and polar marine biomes. With 27 metagenomic samples
 428 where this genome presents a significant coverage of recruited reads, we estimated that single
 429 nucleotide variations are mostly present in biallelic forms with a maximum density reaching 2% of
 430 coding regions. Polar and temperate populations appear to be clearly segregated by single nucleotide
 431 variations in 0.16% of the genomic positions. Thus, we presented a clustering of *Bathycoccus*
 432 populations segregating into three groups based on those nucleotide variations, corresponding to

433 austral, arctic and temperate waters, and mainly characterized by the presence or absence of
434 polymorphism on the segregating variants.

435 We were able to detect six proteins presenting a highly biome-dependent amino acid
436 composition. Cold-adapted proteins are generally more flexible either around the active site or on
437 their surface to optimize their functionality at low temperatures^{32,33,70-72}. However, the evolutionary
438 mechanisms by which a single nucleotide variant alters the global properties of a protein remain
439 poorly understood. A recurrent obstacle is that very often the compared mesophilic and
440 psychrophilic proteins belong to different species and have greatly diverged, making it difficult to
441 discern nucleotide variations that are strictly related to temperature adaptation. Our paper presents
442 an opportunity to circumvent this obstacle since it compares proteins of the same ubiquitous
443 species, with mesophilic and psychrophilic variants, thus highlighting nucleotide and amino-acid
444 variations specifically linked to habitat changes. *Bathycoccus* EPSPS and eEF3 show two distinct
445 modes of cold adaptation. While in eEF3 it is the reduction of the hydrophobic character that alters
446 the stability of an enzyme domain, it is through the modification of the electrostatic surface
447 properties that EPSPS adapts to cold. However, in both cases, the evolutionary strategy is remarkable
448 in the sense that a single amino-acid variation was sufficient to optimise the properties of the
449 enzyme at low temperatures. We show that these mutations occupy critical positions in protein
450 structures whose modification induces global changes of their physical and functional properties.

451 According to our results the N469D amino-acid variant found in the psychrophilic
452 *Bathycoccus* EPSPS produces a cluster of negative charges on the protein surface, in close proximity
453 to a pre-existing cluster of the same charge. The resulting coulombic repulsions at the cold-adapted
454 *Bathycoccus* EPSPS surface likely enhance the flexibility that is generally required for keeping
455 functionality at low temperatures. Interestingly, the I67T amino acid variant found in the
456 psychrophilic *Bathycoccus* variant is located on the third helix of the HEAT domain of eEF3. This
457 reflects a highly targeted evolutionary pressure on its HEAT domain and suggests that the level of
458 flexibility of one of its HEAT repeats is essential for its function. The key to understanding the
459 mechanistic importance of this mutation lies in the physical properties of HEAT repeats^{63,73}. HEAT
460 domains possess remarkable elastic properties that allow them to reversibly undergo multiple
461 mechanical stresses⁷⁴⁻⁷⁶. The elastic properties of HEAT repeats rely on an unusual hydrophobic core
462 that differs significantly from that of less flexible globular proteins⁷⁷. Moreover, it has been found
463 that the HEAT domain function depends on a non-uniform distribution and of the stability of each
464 repeat within the HEAT domain⁷⁶. Knowing that the replacement of an isoleucine by a threonine
465 contributes to the cold adaptation adenylate cyclase by altering the packing of its hydrophobic core
466⁷⁸, the present study documents how the subtle adjustment of the hydrophobic properties of the 2nd
467 HEAT repeat adapts its elasticity at different temperatures. To our knowledge, this constitutes the
468 first data suggesting the HEAT repeats may be involved in adaptation to cold temperatures
469 (Supplementary Information).

470
471 The North Atlantic Current forms the southern and eastern boundary current of the subpolar
472 gyre circulation, crossing the North Atlantic before flowing into the Iceland Basin⁷⁹. Plankton is
473 passively transported along this path and encounters the polar front; *Bathycoccus prasinus* RCC1105
474 seems to cross it with success as indicated by the very high genomic similarity between the abundant
475 polar and temperate populations. Therefore, in light of our results indicating a population structure
476 depending on water temperature, multiple hypotheses can be raised concerning the evolutionary
477 strategies that have shaped the genomic properties of *Bathycoccus prasinus*. Among these, the
478 existence of alleles that would be restricted to each biome appears highly unlikely. Indeed, the
479 polymorphic genomic loci of *Bathycoccus prasinus* populations consist mainly of two alleles whose
480 proportions vary along the path of the currents connecting arctic and temperate waters. We favor
481 the hypothesis that a relatively short life cycle combined with environmental selection occurring
482 along the path would permit rapid recombination of dominant alleles and rapid swap of their relative
483 proportions in populations transported by currents.

484 In line with this proposition, populations sampled in marine areas located between cold and
485 temperate oceans present polymorphisms at those segregating amino-acid positions.

486
487 Further studies, from cultures or from natural populations, are required to better characterize the
488 functional impact of these amino-acid variations. For example, the probable adaptation patterns
489 would benefit from a gene expression study to test patterns of acclimation, as recently exemplified in
490 fish⁸⁰ complementing patterns observed for bacterial communities in the same samples³⁵. Such data
491 would feed into efforts to better understand and predict the impact of global warming, which could
492 have a major impact on the polar biome community⁸¹. It has recently been suggested that advection
493 by North Atlantic currents to the Arctic Ocean, combined with warming, will shift the distribution of
494 phytoplankton poleward, leading to a restructuring of biogeography and complete communities⁸².
495 Such rapid and significant changes challenge adaptation and acclimatization strategies that have
496 evolved over millions of years, especially for cosmopolitan organisms with a temperature-related
497 population structure such as *Bathycoccus prasinos*.

498
499 This study exploits the opportunity to have sampled some of the natural genomic variability of
500 *Bathycoccus prasinos* populations from different temperate and polar locations. Although this
501 geographic coverage is relatively broad, as is the sequencing effort, it is very likely that we have
502 captured only a small portion of the genomic diversity of this species. However, we do see possible
503 markers of adaptation to the Arctic zone where environmental selection pressure would be exerted
504 on the molecular dynamics of proteins due to low temperatures.

505 With more samples, future studies will have to consider variations of both genomes and protein
506 molecular dynamics together with the spatio-temporal context of connectivity among plankton
507 communities to uncover evidence of adaptations to other environmental pressures.

508
509

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515

516 **Conflict of interest**

517 The authors declare no competing interest.

518

519 **Author contributions**

520 P.W. and O.J. designed the study.

521 J.L., Y.T. and O.J. wrote the paper with contributions of G.P.

522 J.L. performed genomic diversity and population structure analysis with contributions of T.D., G.P.
523 and O.J.

524 Y.T. and M.L. performed structural analysis.

525 All authors have read and agreed to the published version of the manuscript.

526

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