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**“Selective synthesis of a valuable unsaturated fatty alcohol via catalytic  
and non-catalytic liquid-phase methyl oleate reduction”**

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## 25    **Abstract**

26        The upgrading of oleyl alcohol synthesis via methyl oleate reduction using NaBH<sub>4</sub>  
27        without H<sub>2</sub> supply was investigated. It was possible to synthesize selectively the valuable  
28        unsaturated fatty alcohol with high yields. Non-catalytic and catalytic experiments were  
29        developed trying to improve the low final oleyl alcohol yield previously obtained. The  
30        effect of reaction temperature, methyl oleate/NaBH<sub>4</sub> molar ratio and properties of  
31        different catalysts on final oleyl alcohol yield was analyzed. Thus, alumina-supported  
32        metal (M) catalysts (M = Fe, Ce, Mo) were synthesized by impregnation at incipient  
33        wetness. The M/Al<sub>2</sub>O<sub>3</sub> catalysts were characterized in their chemical, textural, structural  
34        and acid-base properties using ICP, N<sub>2</sub> physisorption, XRD and NH<sub>3</sub> and CO<sub>2</sub> TPD.  
35        During non-catalytic methyl oleate reduction final methyl oleate conversion and oleyl  
36        alcohol yield of 94% were obtained using a methyl oleate/NaBH<sub>4</sub> molar ratio of 0.11 at  
37        333 K. Catalytic activity of M/Al<sub>2</sub>O<sub>3</sub> solids did not correlate with basic site number but  
38        increased as acid site number and ionic potential of M cations increase. This suggests that  
39        cations with high acid site number and polarizing power are the ones that promote the  
40        polarization of the ester C=O and anion [BH<sub>4</sub>]<sup>-</sup> bonds favoring de methyl oleate  
41        conversion. In addition, the reaction mechanism for fatty acid methyl ester reduction was  
42        investigated from a theoretical approach using Density Functional Theory method at  
43        B3LYP/6-31++G(d,p) computational level. Results obtained during theoretical  
44        calculations confirmed that the formation of reducing alkoxyborohydride species is  
45        energetically favored and allowed to understand the events at microscopic level involved  
46        in the reaction mechanism.

47  
48        **Keywords: methyl oleate; oleyl alcohol; sodium borohydride; reduction reaction**  
49        **mechanism; DFT calculations**

## 50    **1. Introduction**

51        Natural fatty alcohols (FOL) are linear, monohydric, saturated or unsaturated carbon  
52        compounds and have carbon chains between C6-C22. These fatty alcohols are obtained  
53        from renewable sources such as animal or vegetable fats, waxes and oils. Fatty alcohols,  
54        due to their amphiphilic character (combination of nonpolar carbon chain with polar  
55        hydroxyl group), exhibit surface and interface activity, which allows their use in  
56        emulsions and microemulsions [Noweck, 2011], favoring their use in personal care and  
57        cosmetic industries taking part in the formulation of liquid soaps, shampoos, conditioners,  
58        skin emollients, emulsifiers and densifiers of creams and lotions. Thus, fatty alcohols are  
59        employed in ionic and non-ionic surfactant production [Sad et al., 2007]. In particular,  
60        fatty alcohol sulfates and fatty alcohol ether sulfates belong to the group of anionic  
61        surfactants. In addition, fatty alcohol polyglycolethers and fatty alcohol ethoxilates are  
62        the first non-ionic surfactants manufactured in technical scale, being the ethoxilates  
63        compounds better low-foaming surfactants than the former. Alkyl polyglucosides are  
64        manufactured from fatty alcohols and sugar molecules following various procedures.  
65        These FOL-derived surfactants are surface active agents which have wide industrial  
66        applications as cleaning agents and detergents.

67        Industrially, natural FOL are synthesized by conversion of fatty acid methyl esters  
68        (FAME) and fatty acids (FA) via catalytic hydrogenation reaction. In these processes  
69        severe reaction conditions are used that involve high temperatures (473-573 K), high  
70        pressures of H<sub>2</sub> (20-30 MPa), and solid catalysts based mainly on chromium, such as Cu-  
71        Cr [Miya, 1981] and Zn-Cr [Rieke et al., 1997; Rieke et al., 1997], which resulted  
72        selective to FOL but is harmful to the environment due to the pollution generated by the  
73        final disposal of Cr. Due that, in recent years research has been directed at studying  
74        catalysts with similar catalytic activities, which are more efficient and generate less

75 pollution, mainly using catalysts based on noble metals. In fact, when using Ru-Sn/Al<sub>2</sub>O<sub>3</sub>  
76 bimetallic catalysts, a high FOL selectivity is obtained by hydrogenation of methyl laurate  
77 using milder reaction conditions (523 K, 5.6 MPa of H<sub>2</sub>) [Toba et al., 1999]. In addition,  
78 other authors using a similar Ru-Sn/Al<sub>2</sub>O<sub>3</sub> catalyst, obtained stearyl alcohol (saturated  
79 alcohol) with final yields up to 96% by hydrogenation of C=C and C=O bonds of methyl  
80 oleate under severe reaction conditions (553 K, 10 MPa of H<sub>2</sub>) [Tahara et al., 1996]. On  
81 the other hand, when hydrogenating fatty acids (decanoic acid) over Re<sub>2</sub>O<sub>7</sub>, low  
82 conversions and moderate selectivities to 1-decanol are achieved using 403 K and 10 MPa  
83 of H<sub>2</sub> [Yoshino et al., 1990]. There are excellent results in the synthesis of FOL via  
84 hydrogenation of FAME or FA, however, expensive noble metal-based catalysts and high  
85 temperatures and H<sub>2</sub> pressures are required.

86 On the other hand, FAME reductions using mild reaction conditions via hydrogen and  
87 hydride transfer in the liquid phase and without supplying gaseous H<sub>2</sub> have been studied  
88 using homogeneous catalysis and there is practically no information related to the use of  
89 heterogeneous catalysis in these reaction processes. In this sense, different authors have  
90 demonstrated that metal hydrides are efficient carbonyl compounds reducing agents in  
91 presence of alcohols of short carbon chain [Soai et al., 1982; Soai et al., 1984; Brown et  
92 al., 1982; Rajeswara Rao et al., 1987; Xu et al., 2010]. Brown et al. [Brown et al., 1982]  
93 studied the conversion of ethyl caproate and ethyl benzoate using NaBH<sub>4</sub>, LiAlH<sub>4</sub> and  
94 Ca(BH<sub>4</sub>)<sub>2</sub> and alcohols such as ethanol and 2-propanol. In addition, Soai et al. [Soai et al.,  
95 1982; Soai et al., 1984] investigated the esters and lactones reduction employing NaBH<sub>4</sub>  
96 and different solvent mixtures (tert-butanol/methanol, tetrahydrofuran/methanol).  
97 Rajeswara et al. [Rajeswara Rao et al., 1987] and Xu et al. [Xu et al., 2010] investigated  
98 the synthesis of FOL from FAME using NaBH<sub>4</sub> or LiAlH<sub>4</sub> and methanol achieving final

99 FOL yields between 35-96%. Thus, high ester conversions and alcohol selectivities have  
100 been obtained in all these studies.

101 In addition, in a previous work [Vallejo Orrego et al., 2020] we managed to selectively  
102 synthesize different FOL during non-catalytic experiments using mild reaction conditions  
103 via hydrogen transfer and hydride without supplying H<sub>2</sub> gas. These results allowed us to  
104 determine the role played by the hydride donor (metal hydride) and the hydrogen donors  
105 (short carbon chain alcohols) in the fatty acid methyl ester reduction. Particularly, we  
106 investigated the effect of fatty acid methyl ester structure using methyl laurate (ML),  
107 methyl myristate (MM) and methyl oleate (MO). Experiments were carried out at 323 K  
108 employing molar ratios methanol/NaBH<sub>4</sub> and FAME/NaBH<sub>4</sub> of 6.0 and 0.36,  
109 respectively, and NaBH<sub>4</sub>/Al<sub>2</sub>O<sub>3</sub> as reducing solid. Results obtained in that reaction  
110 conditions showed that final ML and MM conversions varied between 90.8 and 93.0%  
111 while MO final conversion resulted significantly lower (34.5%).

112 Taking into account these previous results, in the present work we report on results  
113 obtained during the upgrading of oleyl alcohol synthesis via methyl oleate reduction using  
114 NaBH<sub>4</sub> as reducing agent without H<sub>2</sub> supply. Our goal is to continue the research related  
115 to the synthesis of oleyl alcohol by carrying out non-catalytic and catalytic experiments  
116 trying to improve the low final FOL yield previously obtained. Thus, catalysts based on  
117 different M metals (M = Fe, Ce, Mo) supported on  $\gamma$ -Al<sub>2</sub>O<sub>3</sub> were synthesized,  
118 characterized, and tested in the MO liquid phase reduction reaction. The effect of reaction  
119 conditions and the presence of different catalysts on final oleyl alcohol yield were  
120 analyzed. In addition, the mechanism of reaction steps involved in non-catalytic reduction  
121 of methyl oleate with NaBH<sub>4</sub> was investigated from a theoretical approach using density  
122 functional theory (DFT) method at B3LYP/6-31++G(d,p) computational level.

123

## 124 2. Materials and experimental procedures

### 125 2.1. Chemicals and solid materials

126 The commercial fatty acid methyl ester, methyl oleate (Sigma-Aldrich, 99%) was used  
127 without further purification. Fatty alcohol, oleyl alcohol (Sigma-Aldrich,  $\geq 99\%$ ) was  
128 employed for identification purpose. Methanol (Cicarelli, 99.8%) was used without any  
129 purification.

130 The external standard n-hexadecane (Sigma-Aldrich, 99%) and the solvent  
131 tetrahydrofuran, THF (Biopack,  $\geq 99\%$ ) were used during tests.

132 Sodium borohydride,  $\text{NaBH}_4$  (analytical grade) was purchased from Biopack.

133

### 134 2.2. Catalyst synthesis

135 Alumina-supported metal catalysts ( $\text{MO}_x/\text{Al}_2\text{O}_3$ , with  $\text{M} = \text{Ce}, \text{Fe}, \text{Mo}$ ), containing  
136 around 8.0 wt.% of metal were prepared by incipient wetness impregnation of commercial  
137  $\gamma\text{-Al}_2\text{O}_3$  Cyanamid Ketjen CK 300 with aqueous solutions of different metal precursors.  
138 Metal precursors used were  $\text{Ce}(\text{NO}_3)_3 \cdot 6\text{H}_2\text{O}$  (Fluka,  $\geq 99\%$ ),  $\text{Fe}(\text{NO}_3)_3 \cdot 9\text{H}_2\text{O}$  (Anedra,  
139 99%) and  $\text{Na}_2\text{MoO}_4 \cdot 2\text{H}_2\text{O}$  (Merck, 98%), respectively. The catalyst precursors were  
140 thermally treated in flowing air at 673 K during 5 h with the exception of the one  
141 containing molybdenum that was treated at 773 K. Catalysts were denoted as  $x\text{MAI}$ ,  
142 where  $x$  is the metal content expressed in wt.%.

143 The  $\gamma\text{-Al}_2\text{O}_3$  pellets were crushed and sieved retaining particles between 180-480  $\mu\text{m}$ .

144 The powdered alumina was thermally treated at 773 K in flowing air for 5 h to remove  
145 adsorbed water before the impregnation procedures.

146

### 147 2.3. Catalyst characterization

148 BET surface areas (SA) of catalysts were measured by N<sub>2</sub> physisorption at 77 K using  
149 an Autosorb Quantachrome 1-C sorptometer. After calcination, the chemical content of  
150 metal was analyzed by inductively coupled plasma (ICP-OES).

151 The structural properties of solid materials were analyzed by X-Ray Diffraction (XRD)  
152 technique using a Shimadzu XD-D1 instrument with nickel filtered Cu K $\alpha$  radiations  
153 between 10 and 80°.

154 Total acid site number ( $n_a$ ,  $\mu\text{mol/g}$ ) were determined by TPD of NH<sub>3</sub> preadsorbed at  
155 373 K. Samples were thermally treated in Heat the corresponding calcination temperature  
156 and then exposed to a 1.11% NH<sub>3</sub>/He flow at 373 K during 30 min to enable surface  
157 saturation. Weakly adsorbed NH<sub>3</sub> was removed by flushing with He. The temperature  
158 was then increased at a rate of 10 K/min from 373 K to 593 K and kept constant for 1 h.  
159 NH<sub>3</sub> concentration in the reactor effluent was monitored by a mass spectrometer (MS)  
160 detector in a Baltzers Omnistar unit.

161 Total basic site number ( $n_b$ ,  $\mu\text{mol/g}$ ) were measured by temperature-programmed  
162 desorption (TPD) of CO<sub>2</sub> preadsorbed at room temperature. Samples were pretreated in  
163 situ in a N<sub>2</sub> flow at 773 K, cooled to room temperature, and then exposed to a flowing  
164 mixture of 3% of CO<sub>2</sub>/N<sub>2</sub> until surface saturation was achieved (5 min). Weakly adsorbed  
165 CO<sub>2</sub> was removed by flushing in N<sub>2</sub>. Finally, the temperature was increased to 773 K at  
166 a ramp rate of 10 K/min. Desorbed CO<sub>2</sub> was converted in CH<sub>4</sub> on a methanation catalyst  
167 (Ni/Kieselghur), then analyzed using a flame ionization detector (FID).

168

#### 169 **2.4. Experimental procedure for methyl oleate reduction**

170 Typical non-catalytic and catalytic reduction reactions of methyl oleate (MO) with  
171 methanol and pure NaBH<sub>4</sub> and xMAI catalyst-supported NaBH<sub>4</sub> were carried out.  
172 Reaction temperatures between 308 K and 333 K and atmospheric pressure were used in

173 a semi batch four-necked glass reactor. A solution of MO in tetrahydrofuran (THF)  
 174 solvent with FAME/THF = 0.017 (molar ratio) was firstly loaded in the reactor. The  
 175 reactor was permanently exposed to an inert gas stream (flowing N<sub>2</sub>). The reaction  
 176 mixture was warmed up to the reaction temperature. Magnetic stirring (700 rpm) was  
 177 used. The absence of solubilization problems of MO in the THF solvent under the reaction  
 178 conditions used was evidenced in the experiments. Thus, a single phase was observed  
 179 once all the reactants were added to the reactor at the reaction temperatures used.

180 During typical catalytic test an amount of 0.7 g of sodium borohydride (NaBH<sub>4</sub>) was  
 181 added so that the molar ratio FAME/NaBH<sub>4</sub> in the reactor was 0.28. After that, a volume  
 182 of 5 mL of methanol was added over a period of 1 h reaching a molar ratio  
 183 alcohol/NaBH<sub>4</sub>=6.0. During the 6-hour experiments, 11 samples of  $\approx$  0.2 mL were  
 184 extracted from the reactor.

185 Product of reaction was identified using a Thermo Scientific Trace 1300 GC with a  
 186 Thermo Scientific TR-5MS capillary column coupled to a Thermo Scientific ISQ QD MS  
 187 unit. The analysis and quantification of reactants and product concentrations ( $C_j$ ) were  
 188 done using a 7890A Agilent Technologies GC equipped with a FID detector and a  
 189 Supelcowax-10 30M capillary column. Response factors were calculated using solutions  
 190 containing weighted amounts of the different reactants (FAME, short carbon chain  
 191 alcohols) and products (FOL) and the standard (n-hexadecane) were injected in the GC.

192 Methyl oleate conversion ( $X_{MO}^t$ ) was calculated as:

$$193 \quad X_{MO}^t (\%) = \frac{n_{MO}^0 - n_{MO}^t}{n_{MO}^0} \cdot 100 \quad (\text{Eq. 1})$$

194 where  $n_{MO}^0$  are the moles of methyl oleate initially loaded to reactor and  $n_{MO}^t$  are the  
 195 moles of MO at the reaction time  $t$ . Selectivities to products  $j$  ( $S_j^t$ ) classically defined as  
 196 shown in Equation 2:



$$S_j^t(\%) = \frac{n_j^t}{n_{MO}^0 - n_{MO}^t} \cdot 100 \quad (\text{Eq. 2})$$

were calculated in practice according to Equation 3:

$$S_j^t(\%) = \frac{c_j^t}{\sum c_j^t} \cdot 100 \quad (\text{Eq. 3})$$

since  $\frac{n_{MO}^0 - n_{MO}^t}{\text{Volume}} = \sum C_j^t$ . In Equation 3,  $C_j^t$  is the concentration of product  $j$  at reaction time  $t$ .

Product yields ( $Y_j^t$ ) were calculated according to Equation 4:

$$Y_j^t(\%) = \frac{x_{MO}^t(\%) \cdot S_j^t(\%)}{100} \quad (\text{Eq. 4})$$

The initial methyl oleate conversion rate ( $r_{MO}^0$ , mol/hg<sub>cat</sub>) was calculated from the initial slope of the  $X_{MO}$  vs. time curve after multiplication by  $n_{MO}^0/W$ , according to:

$$r_{MO}^0 = \left[ \frac{d X_{MO}}{d \left( \frac{tW}{n_{MO}^0} \right)} \right]_{\frac{tW}{n_{MO}^0} = 0} \quad (\text{Eq. 5})$$

where  $n_{MO}^0$  is the number of moles of methyl oleate in the reactor at  $t = 0$  and  $W$  the catalyst load.

## 2.5. Computational details for theoretical study of FOL synthesis mechanism

Density functional theory (DFT) molecular orbital calculations were performed using the B3LYP/6-31++G(d,p) level theory [Lee et al.,1988] with Gaussian 09 software package [Frisch et al., 2016]. An initial conformational optimization was performed for the reactants, products and transition state (TS) geometries to obtain the lowest energy conformation.

218 The theoretical study of the reaction mechanism was carried out, optimizing the  
219 reactants, products, transition states and intermediate states. Looking for the transition  
220 states, the frequencies of the optimized structures were calculated in order to verify that  
221 one of them is negative. We confirmed that all the reactants, intermediates, and products  
222 have no imaginary frequencies whereas each transition state has only one imaginary  
223 frequency. Energies values presented and discussed in this work are Gibbs free energies,  
224 because Gibbs free energies include thermal correction to the electronic energies, as well  
225 as the entropic factor.

226 For modeling purposes, a shorter saturated methyl ester, methyl acetate, was used as  
227 FAME. Each reaction mechanism step that was studied is composed of reactants,  
228 transition state, intermediate state and products. We treated the reaction in the gas phase  
229 and all thermochemical parameters were calculated at 298 K and 1 atm.

230

### 231 **3. Results and discussion**

#### 232 ***3.1. Catalyst characterization***

233 Pure  $\text{Al}_2\text{O}_3$  and  $x\text{MAI}$  catalysts were characterized in their chemical, textural,  
234 structural and acid-base properties. Results obtained are presented in Table 1. The  
235 chemical analysis, carried out by ICP, shows that catalyst metal loadings vary between  
236 7.0 and 8.9 wt.%, which are close to the desired nominal content (8.0 wt.%). All catalytic  
237 solids exhibit surface areas ( $SA$ ) lower than that of the alumina support ( $230 \text{ m}^2/\text{g}$ ) and  
238 vary between 53 and  $173 \text{ m}^2/\text{g}$ . This result suggests a pore blockage produced by metallic  
239 species added during impregnation preparation method.

240 Structural properties of the solids were analyzed by XRD technique and the results  
241 obtained for all samples investigated are shown in Figure 1. The XRD analysis of 7.7FeAl  
242 and 7.0CeAl catalysts, showed signals attributable to incipient crystalline phases of  $\text{Fe}_2\text{O}_3$

(JCPDS-ICDD 34-192),  $\text{AlFeO}_4$  (JCPDS-ICDD 84-2153) and  $\text{CeO}_2$  (JCPDS-ICDD 81-792), respectively, in addition to the amorphous phase of the  $\gamma\text{-Al}_2\text{O}_3$  support. On the other hand, diffractogram of 8.9MoAl do not show crystalline phases of Mo incorporated on the  $\gamma\text{-Al}_2\text{O}_3$  during impregnation, suggesting that the Mo species are dispersed on the surface of the support even with contents close to 8.9 wt.%.

The surface acid and basic properties of the  $x\text{MAI}$  samples were investigated by  $\text{CO}_2$  TPD and  $\text{NH}_3$  TPD techniques, respectively. The desorption profiles of both probe molecules are shown in Figure 2. The  $\text{CO}_2$  TPD profiles (Figure 2A) show that most solids exhibit a single desorption peak centered at low temperature (360-400 K). This result suggests that their basicity is weak. The number of total basic sites ( $n_b$ ,  $\mu\text{mol/g}$ ) was determined by integration of the  $\text{CO}_2$  desorption profiles and are presented in Table 1. As can be seen, the  $n_b$  value of the  $\gamma\text{-Al}_2\text{O}_3$  support is low (19  $\mu\text{mol/g}$ ), in agreement with previously reported results [i Díez et al., 2003]. On the other hand, as expected, the promotion of the support with metal cations with recognized Lewis acid properties, in general decreases the basic properties of the solids (Table 1). In effect, in all cases  $n_b$  values of  $x\text{MAI}$  are lower than that of alumina varying from 1 to 16  $\mu\text{mol/g}$ .

On the other hand, the  $\text{NH}_3$  TPD profiles (Figure 2B) exhibit a broad desorption peak between 500-900 K indicates the presence of acid species that adsorb  $\text{NH}_3$  with different binding energies.  $\text{Al}_2\text{O}_3$  support exhibits two peaks at 480 and 615 K, corresponding to weak and strong acid sites, respectively. Solids 7.7FeAl and 7.0CeAl also exhibit two desorption peaks at 585 and 833 K. These results suggest that  $x\text{MAI}$  catalytic solids exhibit strong acidity. The total acid site number ( $n_a$ ,  $\mu\text{mol/g}$ ) was determined by integration of the  $\text{NH}_3$  desorption profiles and are presented in Table 1. All the solids showed  $n_a$  values higher than that of the support (24  $\mu\text{mol/g}$ ).

The acid properties of Lewis nature of the solids caused by incorporation of  $\text{M}^{n+}$

cations, were theoretically evaluated considering the ionic potential  $q/r$  (Table 1). This parameter, defined as the quotient between the charge and the radius of each of the cations [Bernal et al., 2008], takes the lowest value for 7.0CeAl sample and the highest for 8.9MoAl catalyst. Evidence that this parameter allows visualizing the acidity of the catalysts is its relationship with the  $n_a$  values. In fact, in Figure 3 it can be seen that  $n_a$  increases monotonically with  $q/r$ .

In summary, observing in Table 1 the results obtained during the characterization of the acid-base properties and data taken from literature for 8.9MoAl [Inmanee et al., 2017], results evident that within the series of xMAI catalysts investigated, 8.9MoAl exhibits the highest Lewis acidity.

### 3.2. Non-catalytic reduction of methyl oleate using pure $\text{NaBH}_4$

In a previous work [Vallejo Orrego et al., 2020] we demonstrated that fatty alcohol (FOL) synthesis can be performed through non-catalytic fatty acid methyl ester (FAME) reduction experiments without  $\text{H}_2$  supply. Through these experiments it was possible to elucidate the roles of  $\text{NaBH}_4$  and methanol in FAME reduction. Both reactants allow forming alkoxyborohydride species which are better reducing agents than  $\text{NaBH}_4$ . We also postulated a mechanism for methyl laurate reduction and which is shown adapted for any FAME in Scheme 1. The effect of FAME structure was also investigated in this previous work employing methyl laurate (ML), methyl myristate (MM) and methyl oleate (MO). Results obtained showed that the lowest final FAME conversion was achieved using MO which barely reached 34.5%. As expected, the only FOL obtained from ML and MM were lauryl alcohol and myristyl alcohol, respectively; i.e., the saturated FOL, reaching in both cases selectivities of 100%. Surprisingly, using methyl oleate as starting FAME oleyl alcohol was exclusively obtained, i.e., the selectivity towards unsaturated

293 FOL was 100%. This result allowed us to conclude that  $\text{NaBH}_4/\text{Al}_2\text{O}_3$  contributes to the  
294 selective reduction of  $\text{C}=\text{O}$  bond preserving  $\text{C}=\text{C}$  bond of MO.

295 In this work, the experiments are focused on optimization of the MO reduction reaction  
296 using  $\text{NaBH}_4$  as reducing agent (hydride donor) and methanol (proton donor). The MO  
297 reduction reaction is shown in Scheme 2 where the stoichiometric ratio involved is  
298 depicted. Our goal was to improve the low final MO conversion ( $X_{\text{FAME}}$ ) and FOL yield  
299 ( $Y_{\text{FOL}}$ ) values obtained using MO as the starting FAME in our previous work [**Error!**  
300 **Marcador no definido.** Vallejo Orrego et al., 2020].

301 The effect of reaction temperature on MO conversion and FOL yield was firstly  
302 investigated. These experiments were carried out using typical methanol/ $\text{NaBH}_4$  and  
303 FAME/ $\text{NaBH}_4$  molar ratios of 6.0 and 0.28, respectively. Figure 4 shows the MO  
304 conversion evolution with reaction time obtained at 308, 320 and 333 K. In all  
305 experiments, selectivity towards unsaturated FOL (oleyl alcohol) was 100%. Results  
306 from Figure 4 and Table 2 show an increase of final methyl oleate conversion ( $X_{\text{MO}}$ ) and  
307 final oleyl alcohol yield ( $Y_{\text{OA}}$ ) as reaction temperature increases from 308 to 333 K. In  
308 effect, the  $X_{\text{MO}}$  values reached at end of reaction (6 h) were 31.9, 40.2 and 58.6%,  
309 respectively (Table 2). Reaction temperature can not be increased above 333 K working  
310 at atmospheric pressure due that the boiling temperature of methanol co-reactant (338 K).

311 From the analysis of reaction temperature effect can be concluded that 333 K is the  
312 most appropriate temperature to carried out MO reduction and it was adopted to perform  
313 optimization of experimental conditions.

314 After optimizing the reaction temperature, the effect of MO/ $\text{NaBH}_4$  molar ratio was  
315 investigated. During these experiments pure  $\text{NaBH}_4$ , a molar ratio methanol/ $\text{NaBH}_4$  of  
316 6.0, MO/ $\text{NaBH}_4$  molar ratios of 0.11, 0.28 and 0.72 and a reaction temperature of 333 K  
317 were used. The evolution of MO conversion with reaction time for each MO/ $\text{NaBH}_4$

318 molar ratio is shown in Figure 5. The oleyl alcohol ( $S_{OA}$ ) was the only product observed  
319 in all experiments. The best results were obtained working with an excess of  $\text{NaBH}_4$   
320 respect to the stoichiometric ratio 1:1 indicated in the reaction pathway of Scheme 2.  
321 Results from Figure 5 also show that the higher the excess of the reducing agent, the  
322 higher the final OA yield. In fact, results from Table 2 show that the final FOL yield  
323 increases from 21.4% to 93.9% by decreasing the  $\text{MO}/\text{NaBH}_4$  molar ratio from the 0.72  
324 to 0.11.

325 In a previous work, we obtained similar results during reaction condition optimization  
326 using methyl laurate (ML) as starting FAME [Vallejo Orrego et al., 2020] and in all  
327 experiments. In those experiments, the selectivity toward FOL was 100% and ML  
328 conversions at 6 h of reaction were 72, 78, and 98%, respectively.

329 The conversion of methyl oleate to fatty alcohols was previously studied. In most of  
330 these works the reaction strategy is the catalytic hydrogenation using high pressures of  
331 gaseous  $\text{H}_2$  (0.1 and 8 MPa) and high temperatures (473-563 K). Thus, in some of them  
332 expensive catalysts involving noble metals such as  $\text{Ru-Sn}/\text{Al}_2\text{O}_3$  [Echeverri et al., 2011;  
333 Pouilloux et al., 1996; Narasimhan et al., 1989; Mazzieri et al., 2010],  $\text{Ru-Ge}/\text{Al}_2\text{O}_3$   
334 [Sánchez et al., 2013] have been employed. Final yields toward unsaturated alcohol (oleyl  
335 alcohol) were generally low and varied between 12 and 60% being the saturated FAME  
336 (methyl stearate) and saturated alcohol (stearyl alcohol) the main products obtained.  
337 Other authors used mono and bimetallic catalysts based in no-noble metals supported on  
338 different oxides, such as  $\text{Co}/\text{ZnO}$ ,  $\text{Sn}/\text{ZnO}$ ,  $\text{Co}/\text{Al}_2\text{O}_3$ ,  $\text{Co-Sn}/\text{Al}_2\text{O}_3$ ,  $\text{Co-Sn}/\text{ZnO}$ ,  $\text{Co-}$   
339  $\text{Sn}/\text{SiO}_2$  [Pouilloux et al., 1998; Pouilloux et al., 2000; De Oliveira et al., 2001; De  
340 Oliveira et al., 2012]. Using these catalysts, MO hydrogenation yields mainly heavy esters  
341 (oleyl oleate) being the yields toward oleyl alcohol low (11-51%).

342 Different authors investigated metal hydrides as effective reducing agents of C=O  
343 compounds using short carbon chain alcohols [Soai et al., 1982; Soai et al., 1984; Brown  
344 et al., 1982; Rajeswara Rao et al., 1987; Xu et al., 2010], but the raw materials to be  
345 reduced in these cases were ethyl caproate and ethyl benzoate [Brown et al., 1982] using  
346 different metal hydrides or esters and lactones using NaBH<sub>4</sub> [Soai et al., 1982; Soai et al.,  
347 1984]. However, very few works are known in the open literature that have investigated  
348 methyl oleate (MO) reduction using metal hydrides as reducing agents. In effect, McKee  
349 et al. [McKee et al., 2019] and Xin et al. [Xin et al., 2021] investigated MO reduction  
350 using cobalt chloride/sodium borohydride and Ni-La-B systems, respectively, but these  
351 studies lead methyl stearate as main product (reduction of C=C bond) and not produce  
352 the most value product (unsaturated alcohol). The best result during methyl oleate  
353 reduction to the corresponding unsaturated FOL (oleyl alcohol, OA) using NaBH<sub>4</sub> or  
354 LiAlH<sub>4</sub> and methanol was obtained by Rajeswara Rao et al. [Rajeswara Rao et al., 1987],  
355 reaching a final yield toward oleyl alcohol of 72%. However, in these works authors do  
356 not investigate fully the effect that different reaction conditions have on oleyl alcohol  
357 selectivity and yield during MO reduction. Here, we achieved promising results during  
358 the reduction reaction of methyl oleate to the unsaturated fatty alcohol (oleyl alcohol)  
359 making for the first time, a huge effort in analyzing the effect of reaction conditions  
360 during optimization of OA synthesis through non-catalytic MO reduction.

361

### 362 ***3.3. Methyl oleate reduction using NaBH<sub>4</sub> supported on xMAI catalysts.***

363 In previous paragraphs the optimization of reaction conditions during oleyl alcohol  
364 (OA) synthesis from methyl oleate (MO) using NaBH<sub>4</sub> and without supplying H<sub>2</sub> gas was  
365 described. However, despite the high MO conversion values obtained at the end of the  
366 reaction in experiments carried out at 333 K and with a molar ratio MO/NaBH<sub>4</sub> of 0.11,

367 initial FAME conversion values were low, as we could be concluded analyzing the slopes  
368 at the origin of the conversion vs. time curves of Figures 4 and 5.

369 Previously, a mechanism for the reduction of FAME in using  $\text{NaBH}_4$  and methanol as  
370 co-reactants was proposed [Vallejo Orrego et al., 2020]. Briefly, the initial stage of  
371 mechanism consists in the formation of the reducing species: alkoxyborohydride anions.  
372 The second stage involves the successive steps that allow the interaction between the  
373 reducing species and the FAME, leading to the formation of FOL. FAME (MO)  
374 conversion to FOL (OA) requires reducing species that allow the reduction of the  $\text{C}=\text{O}$   
375 carbonyl group of FAME. To do this,  $\text{NaBH}_4$  interacts with methanol generating  
376 alkoxyborohydride species  $[\text{BH}_{4-n}(\text{CH}_3\text{O})_n]^-$  ( $n = 1, 2, 3$ ) that are more effective reducing  
377 agents than the borohydride anion  $[\text{BH}_4]^-$ , as concluded from results obtained previously  
378 [Vallejo Orrego et al., 2020]. This reducing effect increases each time a methoxy group  
379 is attached to  $[\text{BH}_4]^-$  species. After reducing species formation, MO is activated via the  
380 interaction between  $\text{C}=\text{O}$  group and the dimethoxyborohydride anion. The hydride  
381 addition of the reducing species originates a tetrahedral intermediate anion, which  
382 immediately interacts with the B atom of an incipient species of  $\text{BH}(\text{OCH}_3)_2$  through a  
383 polar B-O bond. By subsequent removal of a methoxide specie, which is incorporated  
384 into the dimethoxyborohydride anion, an aldehyde intermediate is formed, which rapidly  
385 is reduced by interaction with the trimethoxyborohydride anion. Indeed, the  $\text{H}^-$  of the  
386 reducing species attacks the carbon of the  $\text{C}=\text{O}$  group of the aldehyde leading to the  
387 formation of a tetrahedral intermediate anion and the tetramethoxyborate species. In the  
388 last part of mechanism, another methanol molecule protonates this tetrahedral  
389 intermediate to form oleyl alcohol. In order to address the study of the mechanism from  
390 a theoretical approach, slight modifications were made to the successive steps described  
391 above, which will be described later.



392 Taking into account that postulated mechanism, metal cations have been incorporated  
393 to  $\text{Al}_2\text{O}_3$  before the incorporation of the co-reactant  $\text{NaBH}_4$ , and thus,  $x\text{MAI}$  catalysts  
394 were synthesized. The goal was to increase the acidity and thus favor the polarization of  
395 the  $\text{C}=\text{O}$  group of the ester and the  $[\text{BH}_4]^-$  anion bond facilitating the formation of  
396 reducing species and their attack on the  $\text{C}=\text{O}$  of the ester. The requirements of these metal  
397 cations to favor polarization are: 1) to have a low ionic radius; 2) to possess high charge.  
398 With this in mind, the 7.0CeAl, 7.7FeAl and 8.9MoAl catalysts were tested in the  
399 reduction of MO to OA. Figure 6 shows the evolution of MO conversion with reaction  
400 time on these solids, while Table 2 summarizes the final yields to OA ( $Y_{\text{OA}}$ ) and the initial  
401 conversion rates of FAME ( $r_{\text{MO}}^0$ ) obtained. In all cases the selectivity to FOL were 100%.  
402 The 8.9MoAl solid exhibits the highest final OA yield value. In fact, this catalyst reached  
403 a  $Y_{\text{OA}}$  of 81.6% after 6 h reaction (Table 2), which is higher than the obtained on  $\text{Al}_2\text{O}_3$   
404 ( $Y_{\text{OA}} = 53.0\%$ ).

405 On the other hand, the reduction of MO on 7.0CeAl and 7.7FeAl catalysts allow to  
406 obtain lower OA final yield (48.8% and 58.7%, respectively). This result confirms that,  
407 in order to favor the bond polarization of the functional groups involved in the reaction,  
408 small metallic cations with high charge are required, that is, high  $q/r$  values. On the other  
409 hand, structural features, characterizes by XRD analysis (Figure 1 and Table 1), would  
410 influence in catalytic results since  $x\text{MoAl}$  is the only catalyst where metal species are well  
411 spread out on the surface of the support.

412 The effect of the surface acid-base properties and cation polarizing power ( $q/r$   
413 parameter) of  $x\text{MAI}$  solids on the catalytic activity was also investigated. In this way, the  
414 initial MO conversion rate was related with  $n_b$ ,  $n_a$ , and  $q/r$ . Results obtained are shown in  
415 Figure 7A and 7B and Table 2. Results from Table 2 show that 8.9MoAl is the most active  
416 catalysts during MO reduction. This was evidenced through its high value of  $r_{\text{MO}}^0$  (0.20

mol/hg), which favored a rapid formation of reducing species that concludes with obtaining the non-reducing anion  $[B(OCH_3)_4]^-$  and therefore the  $Y_{OA}$  increases more slowly up to reach a final value of 81.6%. A similar behavior is exhibited by the 7.7FeAl catalyst, which showed high activity (Table 2) and therefore, the final  $Y_{OA}$  is rapidly reached after 2 h of reaction (Figure 6).

The catalytic activity of  $xMAl$  solids ( $r_{MO}^0$ ) seems not to correlate clearly with  $n_b$  values, although apparently a maximum appears for 8.9MoAl catalyst (Figure 7A). In general, this result suggests that surface basic sites would not be directly involved in the conversion of MO to OA. On the other hand, Figure 7B clearly shows that  $r_{MO}^0$  values increase as  $n_a$  and  $q/r$  increase, suggesting that the  $M^{n+}$  cations whose nature have higher polarizing power ( $q/r$  values) and that are found in greater quantity on the surface of the catalyst ( $n_a$  values) are those that promote the polarization of the ester C=O and anion  $[BH_4]^-$  bonds.

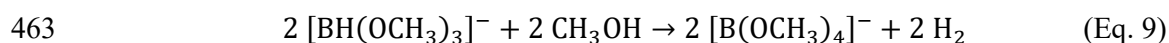
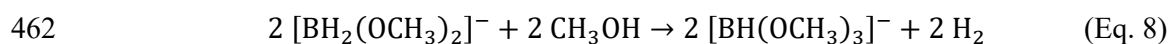
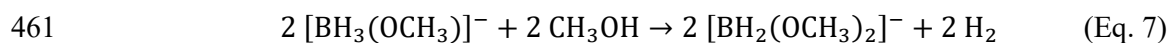
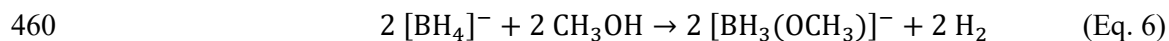
### 3.4. Molecular modeling by DFT of reaction steps involved in fatty alcohol synthesis

The reaction mechanism proposed for FAME reduction was studied from a theoretical approach. The molecular modeling was carried out optimizing reactants, products, transition states and intermediate states. During the search for the transition states (TS), the frequencies of the optimized structures were calculated in order to verify that one of them was negative. The result of this frequency was contrasted with the movement of the atoms involved in the reaction in order to confirm that this structure belonged to a transition state.

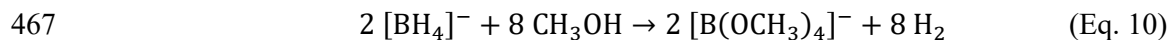
As previously mentioned, the calculations were made using methyl acetate as model molecule to represent FAME, whose reduction using  $NaBH_4$  would lead toward ethanol (model molecule for FOL). The purpose of this choice is to decrease the size of the

calculations involved reducing both the time needed as well as the computational resources. During calculations it was possible to establish a general balance of atoms between reactants and products from the generation of the reducing species toward the formation of the alcohol through interaction of FAME (methyl acetate) and alkoxyborohydride species, and thus, was possible to normalize the free Gibbs energies as a function of the energy of the initial reactants [Foresman et al., 2015] ( $\Delta G$ , Kcal/mol).

In the first instance, the mechanism for reducing species (alkoxyborohydrides) generation was evaluated, taking into account that in each step, methanol is part of the reactants and there is hydrogen generation. In effect, the investigated methanolysis reaction involves, two moles of borohydride anion  $[\text{BH}_4]^-$  and eight moles of methanol ( $\text{CH}_3\text{OH}$ ) as starting reactants and two moles of tetramethoxyborate anion  $[\text{B}(\text{OCH}_3)_4]^-$  and eight moles of hydrogen ( $\text{H}_2$ ) as reaction products. The initial step of the global mechanism for FAME reduction (also for methyl acetate) comprises a series of sub-steps in which the anion  $[\text{BH}_4]^-$  reacts with methanol through successive reactions to produce initially the monosubstituted anion  $[\text{BH}_3(\text{OCH}_3)]^-$  and hydrogen (Scheme 3), until the fully substituted anion  $[\text{B}(\text{OCH}_3)_4]^-$  is reached. The successive steps involved in methanolysis reaction and used to perform the calculations are represented by Equations 6 to 9,



while Equation 10 represents the global methanolysis reaction of  $[\text{BH}_4]^-$  of sodium borohydride that has been widely discussed in the literature [Demirci et al., 2010; Fernandes et al., 2009; Lo et al., 2007]:



Since there is no information available about the coordinates of a structure that represents a transition state that allows to simplify the calculations, a special strategy was used to start the calculations. This strategy involves the use of synchronous quadratic transit method (TSQ) [Foresman et al., 2015; Devia, 2018; Barroso, 2021] which consists of finding a possible transition state from the coordinates of reactants, products and optionally a third molecule corresponding to a supposed transition state. The coordinates of the two reactants ( $[\text{BH}_4]^-$  and methanol) and the two products ( $[\text{BH}_3(\text{OCH}_3)]^-$  and hydrogen) involved were first used, however, it failed to reach a satisfactory transition state at negative energy and frequencies. Consequently, it was decided to build a pseudo-transition state that resembled the reactants and products structures. Then, verifying the presence of a single imaginary frequency and confirming that the energy of the structure results higher than the energy of the reactants and products, this first structure was taken as the basis for calculating the other transition states involved in methanolysis.

Figure 8A shows the initial optimized geometries of anionic borohydride species  $[\text{BH}_4]^-$ , alkoxyborohydrides  $[\text{BH}_{4-n}(\text{OCH}_3)_n]^-$  ( $n = 1, 2$  and  $3$ ), tetramethoxyborate  $[\text{B}(\text{OCH}_3)_4]^-$  and the compounds methanol, methyl acetate, acetaldehyde and ethanol. In addition, optimized structures obtained during the sub-steps of reaction pathway presented in Scheme 3, including the corresponding transition state TS1, TS2, TS3 and TS4, in which the bonds that are formed and broken are represented with dotted lines, are presented in Figure 8B.

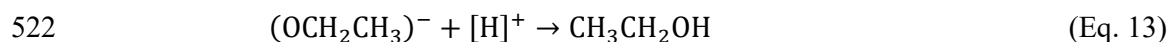
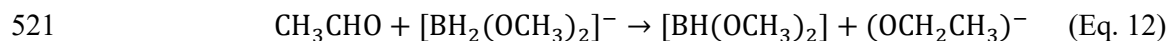
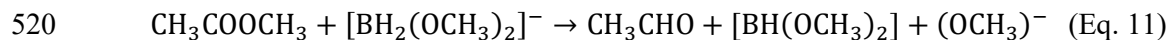
On the other hand, Figure 9 shows the progress of all steps involved in methyl acetate reduction mechanism through the various intermediates and its different energy levels on the potential energy diagram (PED), where the energy of the structures is represented as a function of the reaction coordinate. Total Gibbs free energy of eight methanol

492 molecules, two  $[\text{BH}_4]^-$  species, one hydrogen atom and one methyl acetate molecule has  
493 been considered as the reference of the reaction in the energy profile ( $\Delta G = 0$  Kcal/mol).

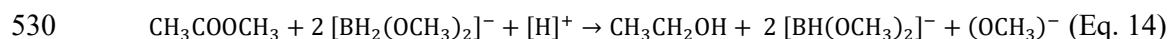
494 As can be seen, the formation of each alkoxyborohydride and tetramethoxyborate  
495 species proceed spontaneously, i.e., they are reaction steps that are energetically favored  
496 and therefore do not require an energy supply to be carried out. These results are  
497 consistent with the experimental results presented in the literature on  $[\text{BH}_4]^-$  methanolysis  
498 [Ocon et al., 2013; Kaya et al., 2019]. The transition states found during the theoretical  
499 study of the generation of reducing species have an imaginary frequency, the movement  
500 of their atoms are consistent with formation of products and they have an energy higher  
501 than the sum of the energies of the reactants or products. This allows us to conclude that  
502 these structures correspond to the transition states (TS) of the reaction. In general, in the  
503 transition states, the  $\text{H}^-$  from the borohydride or methoxyborohydride anion and the  $\text{H}^+$   
504 from methanol react to form gaseous hydrogen and the following substituted  
505 alkoxyborohydride species. In conclusion, the results obtained in the generation of the  
506 reducing species from theoretical calculations are in total agreement with the mechanism  
507 proposed from experimental evidence in Scheme 1.

508 After studying, from a theoretical point of view, the formation of the reducing species,  
509 we evaluated the second part of the mechanism proposed in Scheme 1, which consists of  
510 obtaining the fatty alcohol from the reduction of the ester. Considering that methyl acetate  
511 is used as a model ester to represent FAME, the aldehyde that would be formed in the  
512 first stage of the mechanism would be acetaldehyde and the alcohol that would be  
513 generated as the final product would be ethanol. In order to simplify the analysis of the  
514 interaction of reducing species with FAME (Scheme 1), to facilitate understanding of the  
515 theoretical approach in the reaction pathway of Scheme 3 and taking into consideration  
516 the time required to perform and analyze the results of the calculations, the theoretical

analysis that was carried out involves the species  $[\text{BH}_2(\text{OCH}_3)_2]^-$  as unique reducing agent of methyl acetate and acetaldehyde. Taking the above into account, Equations 11, 12 and 13 detail the sub-steps involved in the formation of ethanol.



Equation 11 represents the general expression to obtain the first intermediate of the reaction, which corresponds to acetaldehyde for the model proposed in this study. As shown in the Scheme 3, acetaldehyde in turn is rapidly reduced by  $[\text{BH}_2(\text{OCH}_3)_2]^-$  species or by another alkoxyborohydride species to form an ethoxide intermediate  $(\text{OCH}_2\text{CH}_3)^-$  (Scheme 3), which is then converted to ethanol by  $\text{H}^+$  from methanol. This last part of the process is represented in Equations 12 and 13. General equation representing the whole process is depicted in Equation 14:



In order to evaluate their energetic behavior and compare theoretical results of calculations with the proposed experimental mechanism, frequencies of all the molecules involved in each sub-step of the mechanism were calculated. For the TS5, TS6, TS7 and TS8, the existence of an imaginary frequency (negative value) in the structures proposed as a TS was verified.

Analysis of the results obtained in the theoretical calculation carried out on the synthesis of ethanol was divided for a better understanding into two steps: formation of the acetaldehyde intermediate and formation of the final product, ethanol.

To form acetaldehyde from methyl acetate, the presence of a nucleophilic compound containing  $\text{H}^-$  ions is necessary to transfer electrons to the carbonyl group of the ester. Results obtained are shown in Figures 8 and 9. The transition state TS5 is initially formed

542 from the attack of the anion  $[\text{BH}_2(\text{OCH}_3)_2]^-$  to the C=O bond of methyl acetate (Figure  
543 8B); then an intermediate (EI1 in Figure 8C) is formed in which the  $\text{H}^-$  forms a single  
544 bond to the C=O group at the same time that the other single bond C-O between carbon  
545 and the leaving group  $(\text{OCH}_3)^-$  of methyl acetate begins to break, leaving the oxygen of  
546 the C=O with negative charge. In the second transition state (TS6) formation, the C=O  
547 bond is reformed and the  $(\text{OCH}_3)^-$  group is lost (Scheme 3) leading to acetaldehyde  
548  $[\text{BH}(\text{OCH}_3)_2]$  and  $(\text{OCH}_3)^-$  species.

549 Figure 9 shows that the acetaldehyde formation results an exergonic reaction and is  
550 energetically favored, since the products have a lower energy state ( $\Delta G = -100.1$   
551 Kcal/mol) than the reactants ( $\Delta G = -53.9$  Kcal/mol).

552 The last part of ethanol formation mechanism requires the initial formation of an  
553 ethoxide intermediate through reduction of acetaldehyde and then, the last step, involves  
554 its conversion into ethanol (Scheme 3). The ethoxide formation (Equation 12) is  
555 represented in Figure 8B while Figure 9 shows the energy profile as a function of the  
556 reaction coordinate. As can be seen in Figure 8B, for the conversion of acetaldehyde (P5)  
557 to ethoxide intermediate (EI2), a transition state (TS7) is formed in which a  $\text{H}^-$  of the  
558 species  $[\text{BH}_2(\text{OCH}_3)_2]^-$  attacks the carbon of acetaldehyde C=O group.

559 This approximation favors the breaking of alkoxyborohydride B-H bond and the  
560 formation of the C-H bond that gives rise to ethoxide species. Ethoxide is considered an  
561 intermediate between acetaldehyde and ethanol, in which the carbon atom of the C=O  
562 group forms three bonds: two simple C-H bonds and other bond that corresponds to the  
563 partial formation of a double bond between carbon and oxygen, in which the oxygen atom  
564 meets delocalized electrons that would favor the formation of ethanol. Results presented  
565 in Figure 9 show that the energy change involved in going from P5 to EI2 is not  
566 energetically favored to form the ethoxide, indicating that this step requires extra energy

567 to be carried out. The fact that this structure is not energetically favored confirms that it  
568 is a reaction intermediate state. The final sub-step of the reaction involves the formation  
569 of ethanol (Equation 13). Calculation results obtained on this step are shown in Figures  
570 8B and 9. To carry out the theoretical calculations in this sub-step, the H atom of methanol  
571 was used as a proton source, which, as was verified in the theoretical studies of  
572 methanolysis steps comes from the alcohol O-H group. On the other hand, in the  
573 mechanism showed in Scheme 3, the  $H^+$  of methanol participates in both the generation  
574 of hydrogen and the alcohol formation. Thus, structures of Figure 8B show that in the  
575 transition state (TS8), the H atom approaches the ethoxide to favor the formation of the  
576 O-H bond leading to ethanol. Furthermore, in Figure 9 allow concluding that alcohol  
577 formation occurs exergonically and thus, this sub-step is energetically favored.

578 In conclusion, the mechanism involved in the generation of reducing species was  
579 theoretically elucidated. In this part of global mechanism, it was possible to confirm the  
580 formation of alkoxyborohydride species is energetically favored. Furthermore, the results  
581 obtained during the theoretical calculations for ethanol formation through methyl acetate  
582 reduction, allowed us to understand the events at the microscopic level involved in the  
583 mechanism of the reaction under study. In addition, the exhaustive study of the sub-steps  
584 involved in the mechanism confirmed the formation of several structures  
585 (alkoxyborohydride, acetaldehyde and ethoxide species) which act as intermediate  
586 compounds in obtaining alcohol. Finally, reducing the size of the system in the theoretical  
587 study allows to reach a first approach to the intermolecular interactions that exist between  
588 the different species involved in the reduction of shorter ester than FAME; during  
589 extrapolation of this theoretical study involving the synthesis of ethanol toward the  
590 synthesis of fatty alcohols (FOL) derived from FAME, it was possible to confirm the



analysis carried out at macroscopic level (experimental results) by proposing in Scheme 1 the reaction mechanism for FOL synthesis.

#### 4. Conclusions

Oleyl alcohol (OA) synthesis could be improved through non-catalytic and catalytic experiments with selectivities of 100% from methyl oleate and methanol using both unsupported  $\text{NaBH}_4$  and catalyst-supported  $\text{NaBH}_4$ . In order to optimize the synthesis, different reaction conditions (temperature, methyl oleate/ $\text{NaBH}_4$  molar ratio and catalyst nature) were employed without the supply of  $\text{H}_2$  gaseous. Thus, in non-catalytic experiments a final yield of the valuable unsaturated fatty alcohol OA of 93.9% was achieved using a methyl oleate/ $\text{NaBH}_4$  molar ratio of 0.11 and a reaction temperature of 333 K.

The effect of the incorporation of different metal cations M (M = Fe, Ce, Mo) to the alumina support on the activity and OA yield was studied and it was found that 8.9Mo/ $\text{Al}_2\text{O}_3$  solid, the catalyst with the highest acid site number and the highest polarizing power, allow obtaining the best activity value. In fact, this catalytic solid favors the C=O bond polarization of the ester and the anion  $[\text{BH}_4]^-$  to form the actual reducing species that then interact with the methyl oleate.

Reaction mechanism previously postulated for fatty acid methyl esters reduction towards fatty alcohols using methanol and  $\text{NaBH}_4$  was studied in this work from a theoretical approach using density functional theory (DFT) method at B3LYP/6-31++G(d,p) computational level. Calculations were made using methyl acetate as model molecule to represent fatty acid methyl esters, whose reduction would lead toward ethanol (model molecule for fatty alcohols). This choice allowed to decrease the size of the calculations involved, reducing both the time needed as well as the computational

resources. It was possible to confirm that the formation of reducing alkoxyborohydride species is energetically favored. On the other hand, results obtained during the theoretical calculations for ethanol formation from methyl acetate, allowed to understand the events at the microscopic level involved in the reaction mechanism studied. Finally, it was possible demonstrate, that the reduction of the system size during the theoretical study allows to reach a first approach to the intermolecular interactions that exist between the different species involved in the acetate reduction. During extrapolation of the DFT study performed for ethanol synthesis toward the fatty alcohol synthesis from fatty acid methyl esters, we confirmed the analysis carried out at macroscopic level based on experimental results.

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## **Authorship**

**V.K.D.** Conceived and designed the study; conceptualized, supervised and analyzed the data; and wrote the first draft of the manuscript. **C.A.F.** Conceptualized and supervised theoretical research (DFT) and analyzed the data. **A.V.O.** Carried out the experimental and theoretical research and analyzed the data. All authors contributed to and approved the final draft of the manuscript.

641 **Declaration of Competing Interest**

642 The authors declare that they have no known competing financial interests or personal  
643 relationships that could have appeared to influence the work reported in this paper.

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788   **Captions to Figures and Schemes**

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790   **Scheme 1.** Reaction mechanism for fatty acid methyl ester reduction using methanol as  
791   proton donor and sodium borohydride as hydride donor.

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793   **Scheme 2.** Stoichiometry of oleyl alcohol (OA) synthesis reaction from methyl oleate,  
794   methanol and sodium borohydride.

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796   **Scheme 3.** Possible mechanisms involved in the methyl acetate reduction reaction used  
797   for DFT calculations.

798

799   **Figure 1.** Diffractograms obtained on  $x\text{MAl}$  samples.

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801   **Figure 2.**  $\text{CO}_2$  TPD (A) and  $\text{NH}_3$  TPD (B) profiles for  $x\text{MAl}$  solids.

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803   **Figure 3.** Relation between  $n_a$  and  $q/r$  for  $x\text{MAl}$  catalysts.

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805   **Figure 4.** Effect of reaction temperature during methyl oleate conversion to oleyl  
806   alcohol ( $T = 308\text{ K}, 320\text{ K}, 333\text{ K}$ ; FAME/ $\text{NaBH}_4$  molar ratio = 0.28; methanol/ $\text{NaBH}_4$   
807   molar ratio = 6.0;  $\text{NaBH}_4$  as reducing solid).

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809   **Figure 5.** Effect of varying FAME/ $\text{NaBH}_4$  molar ratio during methyl oleate conversion  
810   to oleyl alcohol ( $T = 333\text{ K}$ ; methanol/ $\text{NaBH}_4$  molar ratio = 6.0;  $\text{NaBH}_4$  as reducing  
811   solid).

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813 **Figure 6.** MO conversion as a function of reaction time on xMAI catalysts (T  
814 = 333 K; MO/NaBH<sub>4</sub> molar ratio = 0.11; methanol/NaBH<sub>4</sub> molar ratio = 6.0; NaBH<sub>4</sub>/MAI  
815 as reducing solid).

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817 **Figure 7.** Relation between  $r_{MO}^0$  and  $n_b$  (A) and,  $r_{MO}^0$  and  $q/r$  and  $n_a$  (B) for xMAI  
818 catalysts (T = 333 K; MO/NaBH<sub>4</sub> molar ratio = 0.11; methanol/NaBH<sub>4</sub> molar ratio =  
819 6.0).

820

821 **Figure 8.** Different optimized initial geometries (A), transitions states (A) and  
822 intermediates (C) found for methyl acetate reduction reaction obtained from DFT  
823 calculations.

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825 **Figure 9.** Reaction energy profile for methyl acetate reduction toward ethanol formation  
826 obtained from DFT calculations.

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**Table 1.** Chemical, textural, structural and acid-base characterization of xMAI catalysts.

Catalyst	Metal content, $x^a$ (wt. %)	Textural properties			Acid-base properties			Structural phases detected by XRD
		$SA^b$ (m <sup>2</sup> /g)	Pore volume, $V_g$ (cm <sup>3</sup> /g)	Pore size, $dp$ ( $\oplus$ )	$n_b^c$ ( $\mu$ mol/g)	$n_a^d$ ( $\mu$ mol/g)	Ionic potential, $q/r$	
CeAl	7.0	173	0.41	95.2	16	111	3.96	CeO <sub>2</sub> , $\gamma$ -Al <sub>2</sub> O <sub>3</sub>
FeAl	7.7	53	0.10	76.7	9	128	4.62	Fe <sub>2</sub> O <sub>3</sub> , AlFeO <sub>3</sub> , $\gamma$ -Al <sub>2</sub> O <sub>3</sub>
MoAl	8.9	128	0.27	84.1	12	977 <sup>e</sup>	9.68	$\gamma$ -Al <sub>2</sub> O <sub>3</sub>
$\gamma$ -Al <sub>2</sub> O <sub>3</sub>	-	230	-	-	19	24	-	$\gamma$ -Al <sub>2</sub> O <sub>3</sub>

<sup>a</sup> by ICP; <sup>b</sup> BET surface areas; <sup>c</sup> by TPD of CO<sub>2</sub>; <sup>d</sup> by TPD of NH<sub>3</sub>; <sup>e</sup> from reference [19]

**Table 2.** Non-catalytic and catalytic results obtained during methyl oleate reduction using NaBH<sub>4</sub>.

Catalyst	Reaction conditions			Results obtained during MO reduction		
	Reaction temperature (K)	MO/NaB H <sub>4</sub> molar ratio	Methanol/NaB H <sub>4</sub> molar ratio	$S_{OA}^a$ (%)	$X_{MO}$ or $Y_{OA}^b$ (%)	$r_{MO}^0$ <sup>c</sup> (mol/hg)
-	308	0.28	6.0	100.0	31.9	-
-	320	0.28	6.0	100.0	40.2	-
-	333	0.28	6.0	100.0	58.6	-
-	333	0.11	6.0	100.0	93.9	-
-	333	0.72	6.0	100.0	21.4	-
$\gamma$ -Al <sub>2</sub> O <sub>3</sub>	333	0.11	6.0	100.0	53.0	0.16
CeAl	333	0.11	6.0	100.0	48.8	0.16
FeAl	333	0.11	6.0	100.0	58.7	0.18
MoAl	333	0.11	6.0	100.0	81.6	0.20

<sup>a</sup> during the whole 6-hour experiment; <sup>b</sup> at t = 6 h; <sup>c</sup> Initial MO conversion rate (at t = 0).